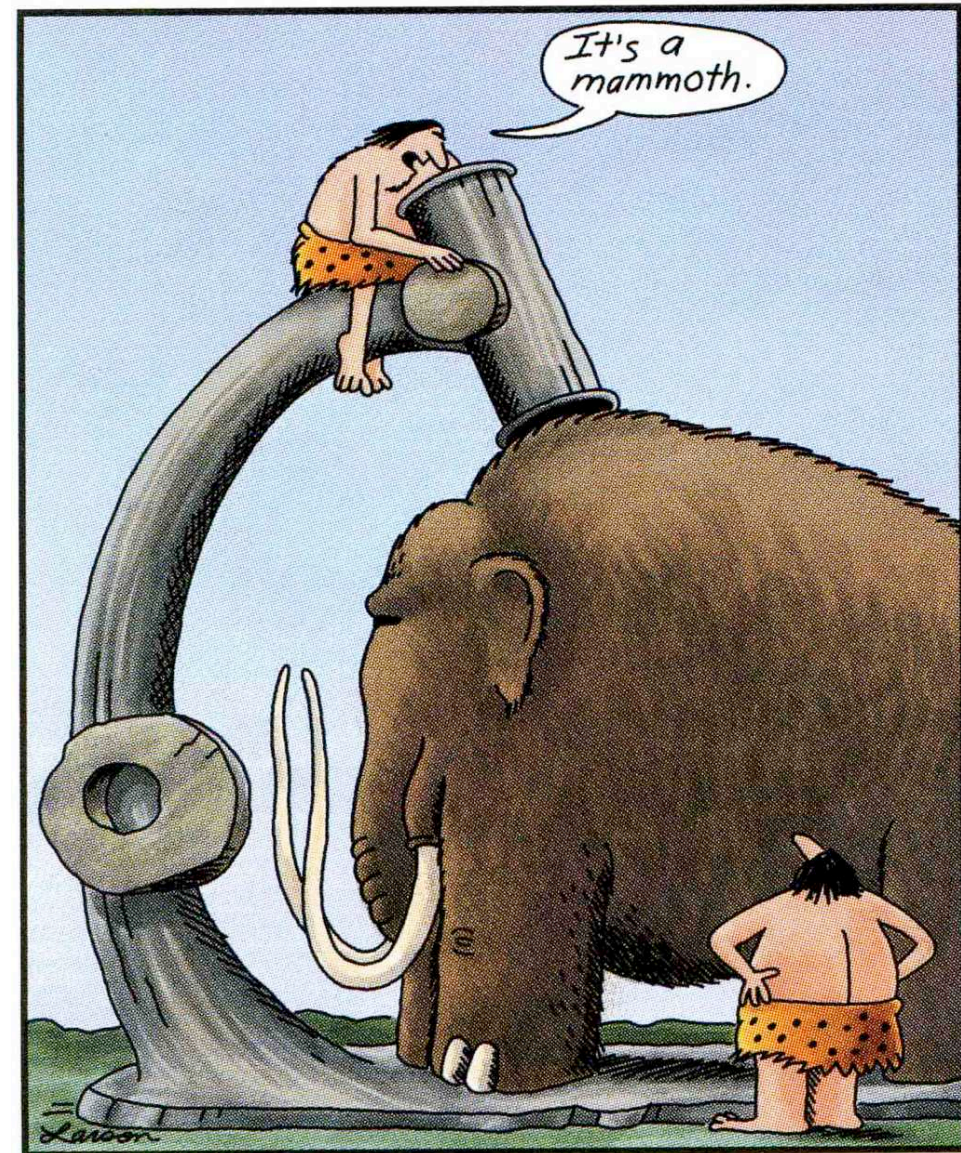


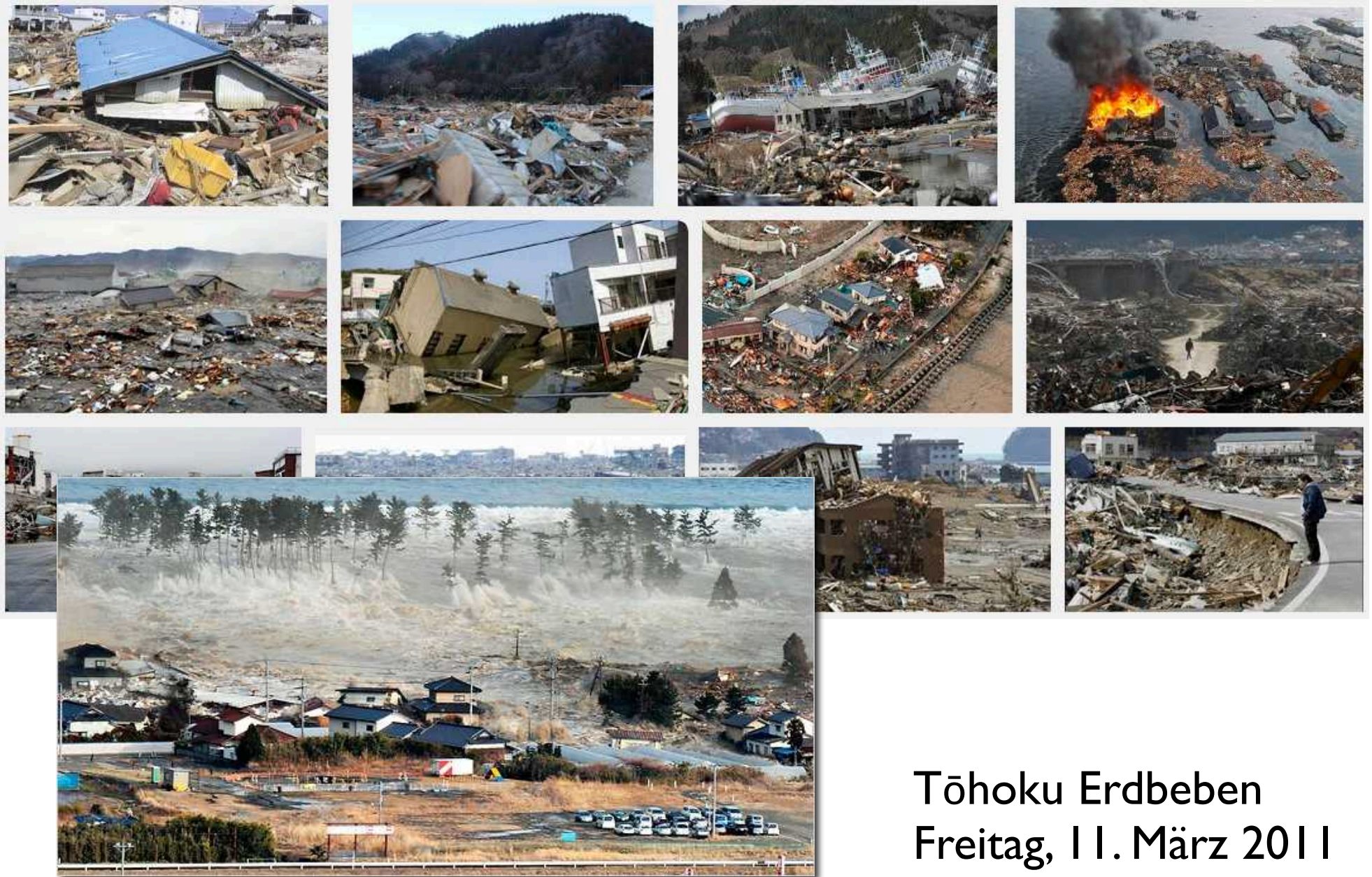
Senioren Universität  
11. / 12. März 2015

# Erdbeben unter dem Mikroskop

Prof. Dr. Renée Heilbronner  
Departement  
Umweltwissenschaften  
Universität Basel



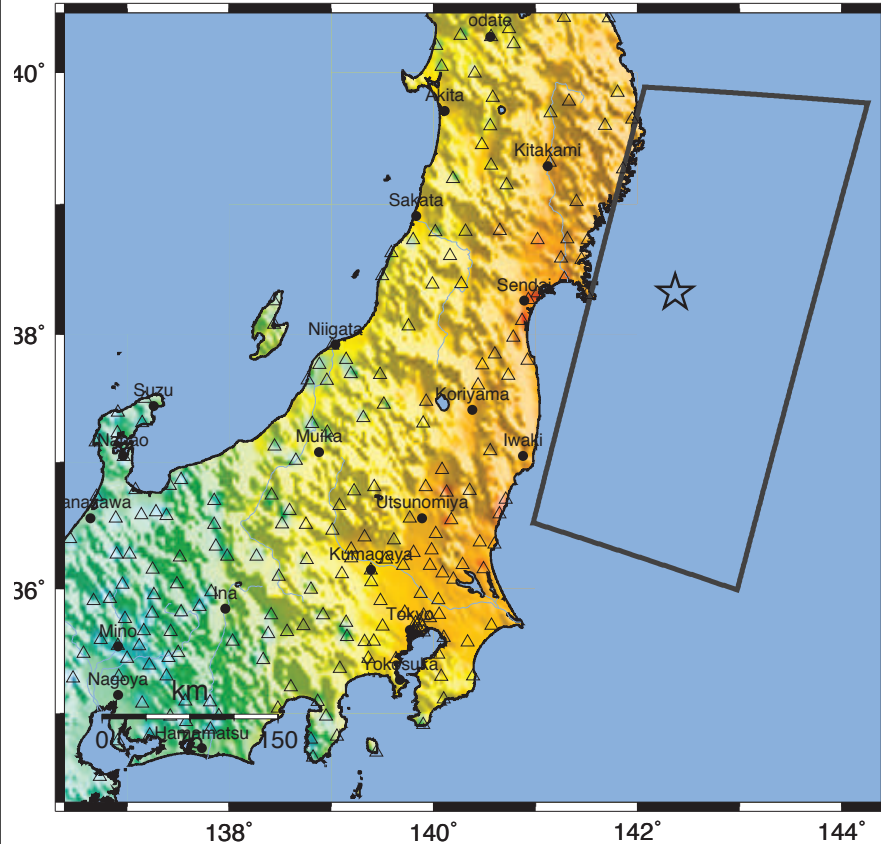
# 東北地方太平洋沖地震



Tōhoku Erdbeben  
Freitag, 11. März 2011

# Tohoku, Japan Earthquake, 03/11/2011, Mw 9.0

USGS ShakeMap : NEAR THE EAST COAST OF HONSHU, JAPAN  
 Fri Mar 11, 2011 05:46:23 GMT M 9.0 N38.32 E142.37 Depth: 32.0km ID:c0001xgp



Map Version 6 Processed Tue Mar 15, 2011 08:39:58 AM MDT -- NOT REVIEWED BY HUMAN

PERCEIVED SHAKING	Not felt	Weak	Light	Moderate	Strong	Very strong	Severe	Violent	Extreme
POTENTIAL DAMAGE	none	none	none	Very light	Light	Moderate	Moderate/Heavy	Heavy	Very Heavy
PEAK ACC.(%g)	<.17	.17-1.4	1.4-3.9	3.9-9.2	9.2-18	18-34	34-65	65-124	>124
PEAK VEL.(cm/s)	<0.1	0.1-1.1	1.1-3.4	3.4-8.1	8.1-16	16-31	31-60	60-116	>116
INSTRUMENTAL INTENSITY	I	II-III	IV	V	VI	VII	VIII	IX	X+



Earthquake Shaking **Red Alert**

## M 9.0, NEAR THE EAST COAST OF HONSHU, JAPAN

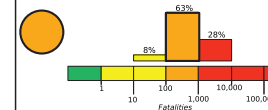
Origin Time: Fri 2011-03-11 05:46:23 UTC (14:46:23 local)  
 Location: 38.32°N 142.37°E Depth: 32 km  
 FOR TSUNAMI INFORMATION, SEE: [tsunami.noaa.gov](http://tsunami.noaa.gov)



**PAGER**  
Version 7

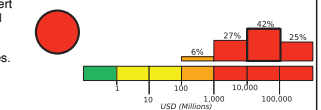
Created: 4 days, 9 hours after earthquake

### Estimated Fatalities



Red alert level for economic losses. Extensive damage is probable and the disaster is likely widespread. Estimated economic losses are 0-1% GDP of Japan. Past events with this alert level have required a national or international level response.  
 Orange alert level for shaking-related fatalities. Significant casualties are likely.

### Estimated Economic Losses

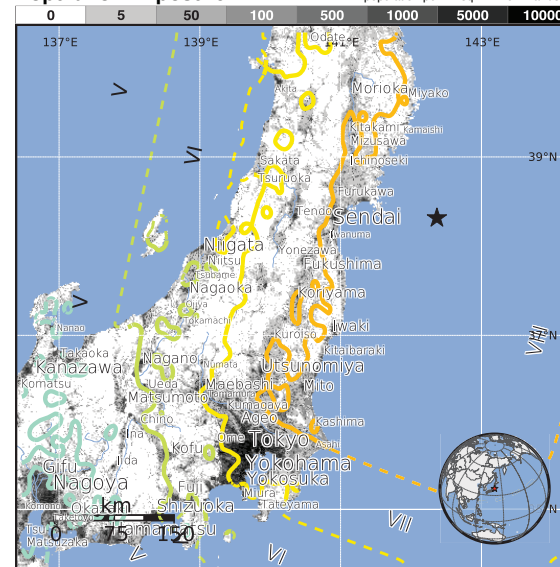


### Estimated Population Exposed to Earthquake Shaking

ESTIMATED POPULATION EXPOSURE (k = x1000)	--	6k*	2,483k*	15,269k*	10,864k*	36,088k*	6,781k*	66k	0	
ESTIMATED MODIFIED MERCALLI INTENSITY	I	II-III	IV	V	VI	VII	VIII	IX	X+	
PERCEIVED SHAKING	Not felt	Weak	Light	Moderate	Strong	Very Strong	Severe	Violent	Extreme	
POTENTIAL DAMAGE	Resistant Structures Vulnerable Structures	none	none	none	V. Light	Light	Moderate	Moderate/Heavy	Heavy	V. Heavy

\*Estimated exposure only includes population within the map area.

### Population Exposure



**Structures:**  
 Overall, the population in this region resides in structures that are resistant to earthquake shaking, though some vulnerable structures exist. The predominant vulnerable building types are non-ductile reinforced concrete frame and heavy wood frame construction.

### Historical Earthquakes (with MMI levels):

Date (UTC)	Dist. (km)	Mag.	Max MMI(#)	Shaking Deaths
1998-06-14	363	5.7	VII(428k)	0
1994-12-28	263	7.7	VIII(132k)	3
1983-05-26	369	7.7	VII(174k)	104

Recent earthquakes in this area have caused secondary hazards such as tsunamis, landslides, and fires that might have contributed to losses.

### Selected City Exposure

MMI City	Population
IX Iwanuma	42k
IX Rifu	35k
IX Shiogama	60k
IX Hitachi	186k
VIII Takahagi	34k
VIII Ishinomaki	117k
VIII Sendai	1,038k
VIII Chiba	920k
VII Yokohama	3,574k
VII Tokyo	8,337k
V Nagoya	2,191k

bold cities appear on map

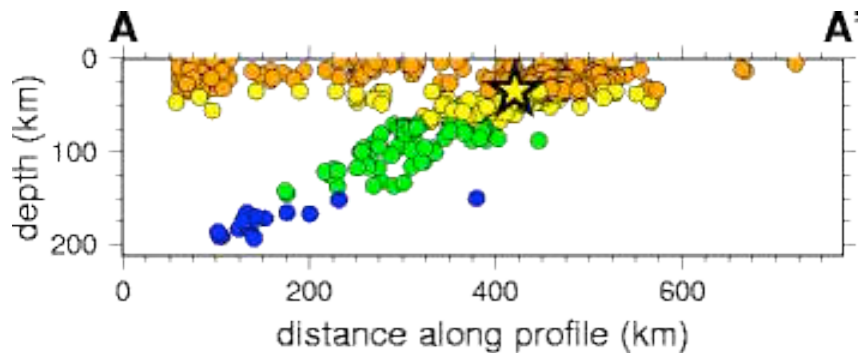
(k = x1000)

PAGER content is automatically generated, and only considers losses due to structural damage. Limitations of input data, shaking estimates, and loss models may add uncertainty.  
<http://earthquake.usgs.gov/pager>

Event ID: usc0001xgp

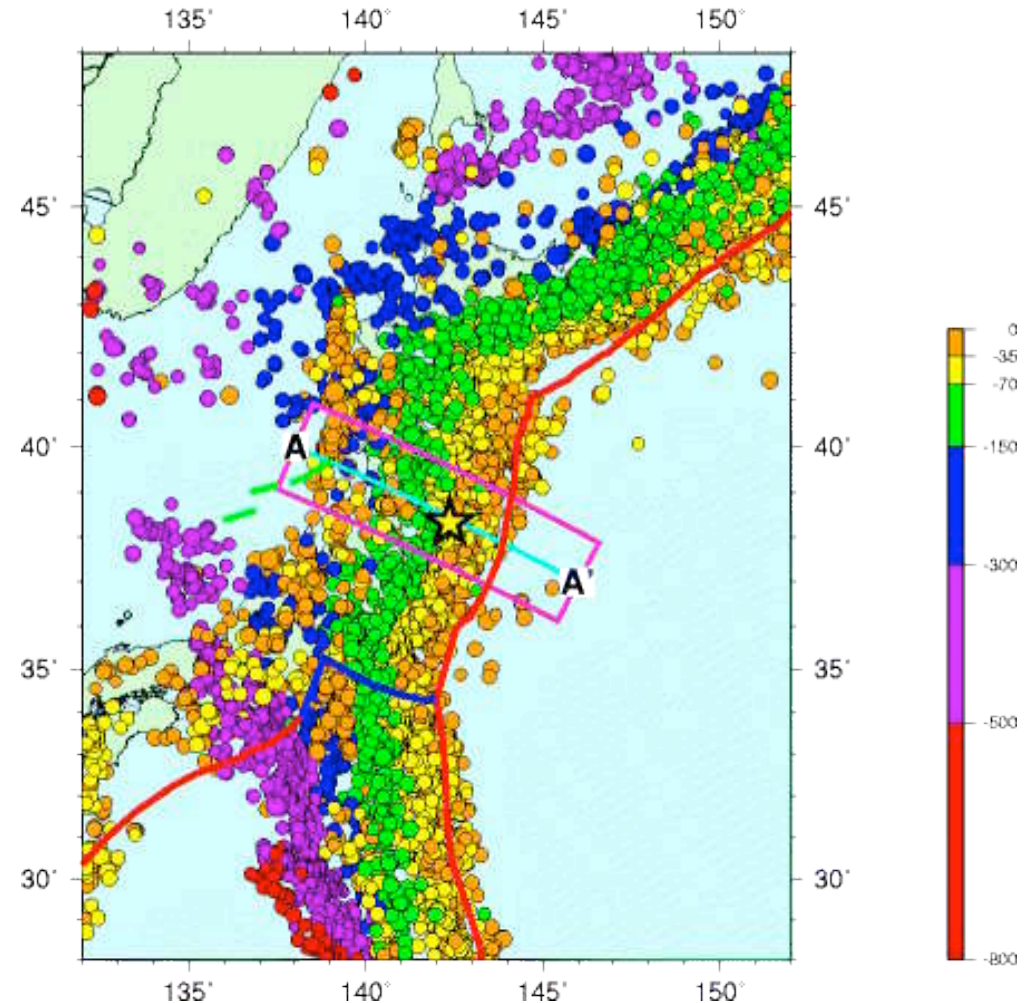
The map on the right shows historic earthquake activity near the epicenter (star) from 1990 to present.

As shown on the cross section, earthquakes are shallow (orange dots) at the Japan Trench and increase to 300 km depth (blue dots) towards the west as the Pacific Plate dives deeper beneath the Japan (Eurasian Plate or Ochotsk Plate).



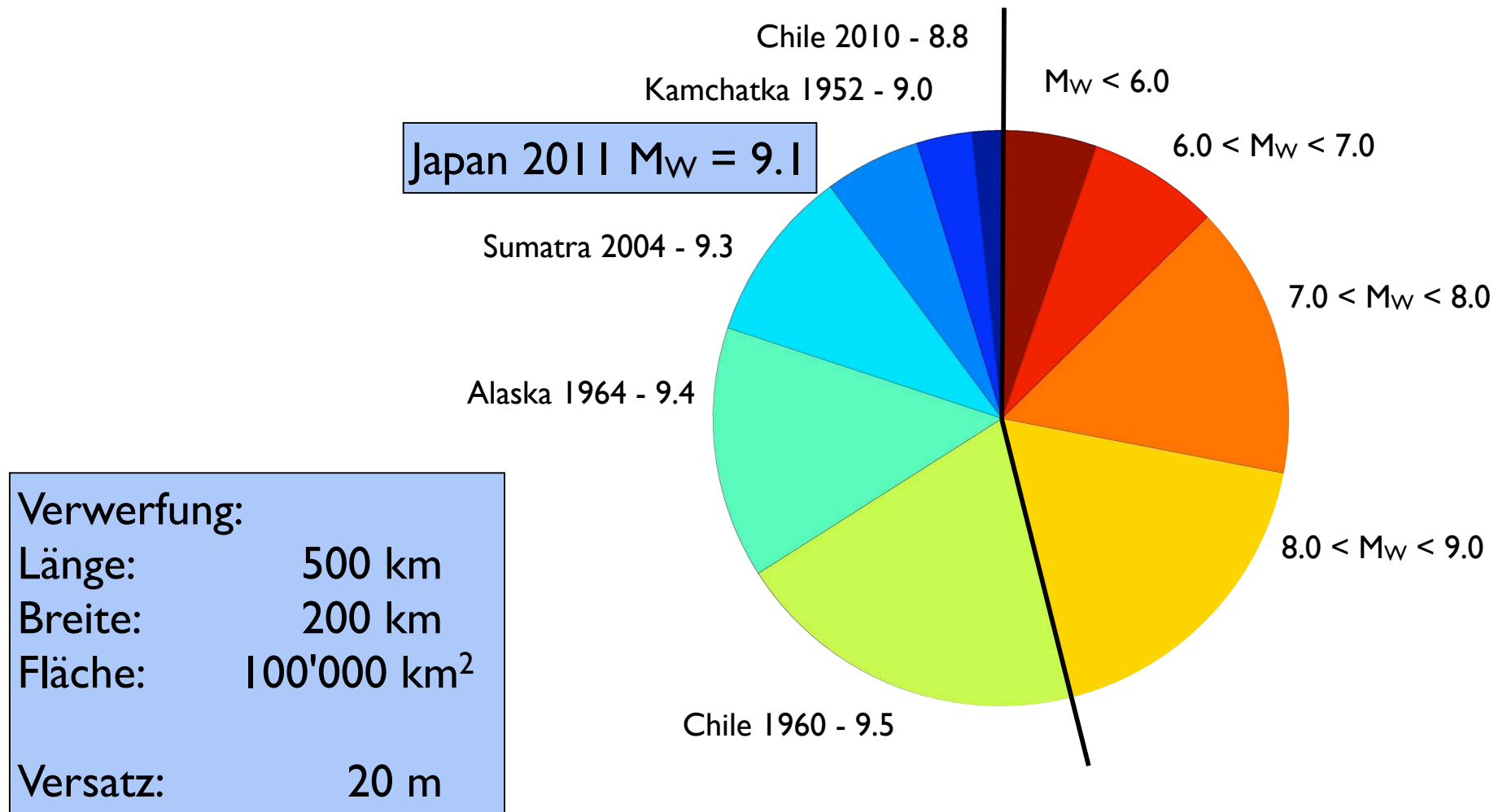
*Seismicity Cross Section across the subduction zone showing the relationship between color and earthquake depth.*

### Seismicity Cross Section



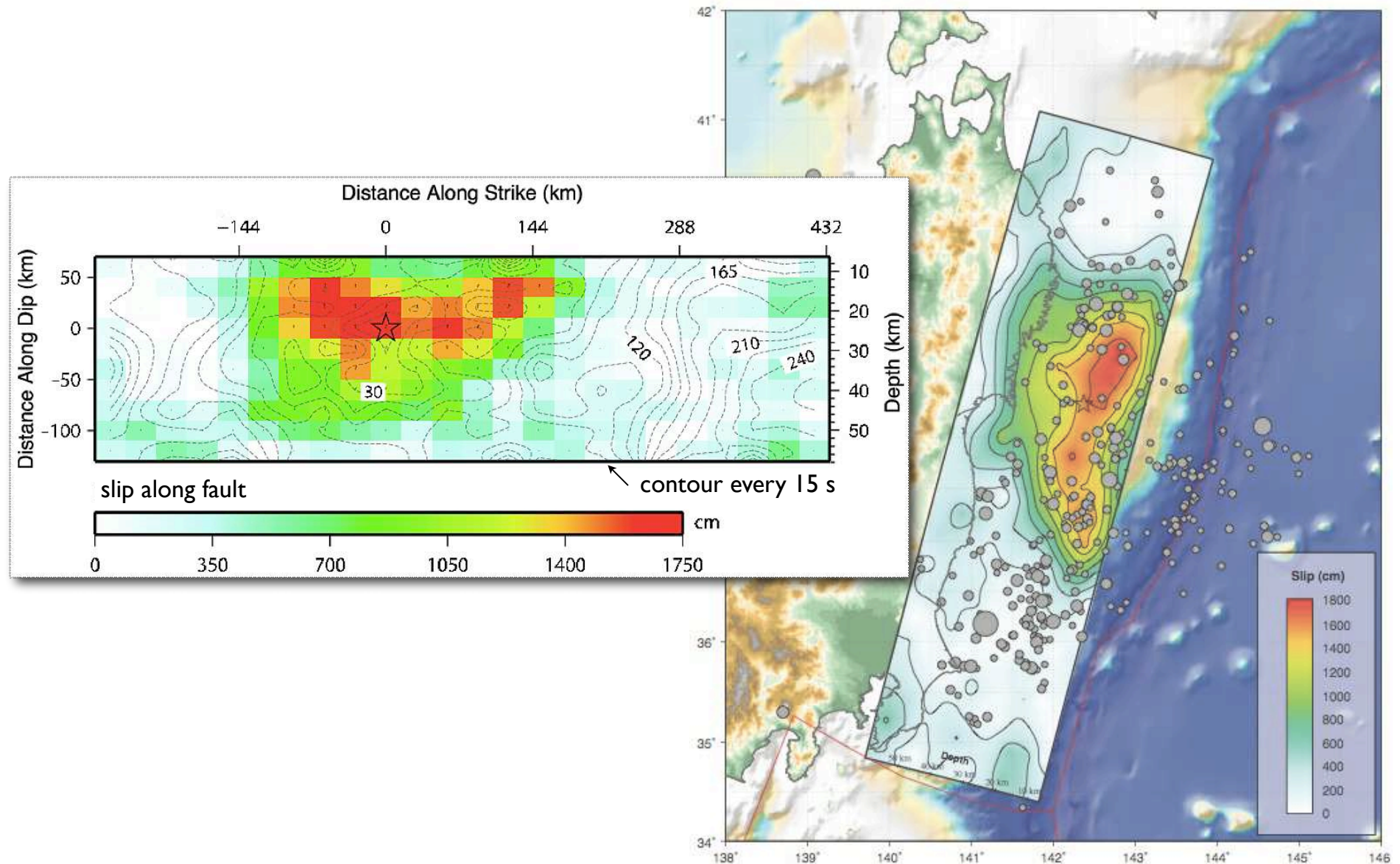
*Images courtesy of the US Geological Survey*

# eines der grössten Erdbeben



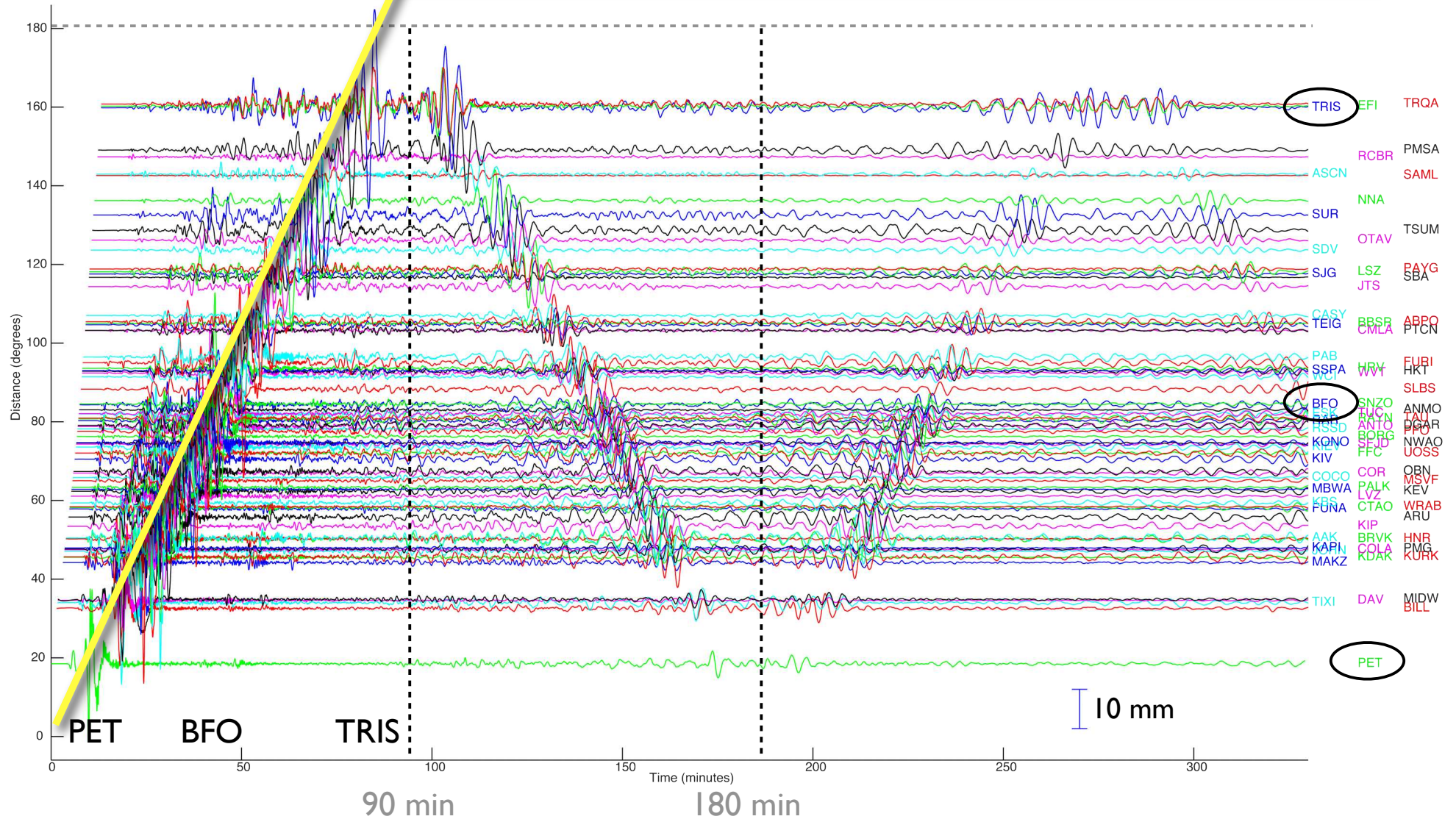
Seismisches Moment  $M_0 = \text{Fläche} \cdot \text{Versatz} \cdot \text{Schерmodul}$   
Momenten-Magnitude  $M_w = \frac{2}{3} \cdot \log(M_0) - 10.7$

# was war geschehen ?



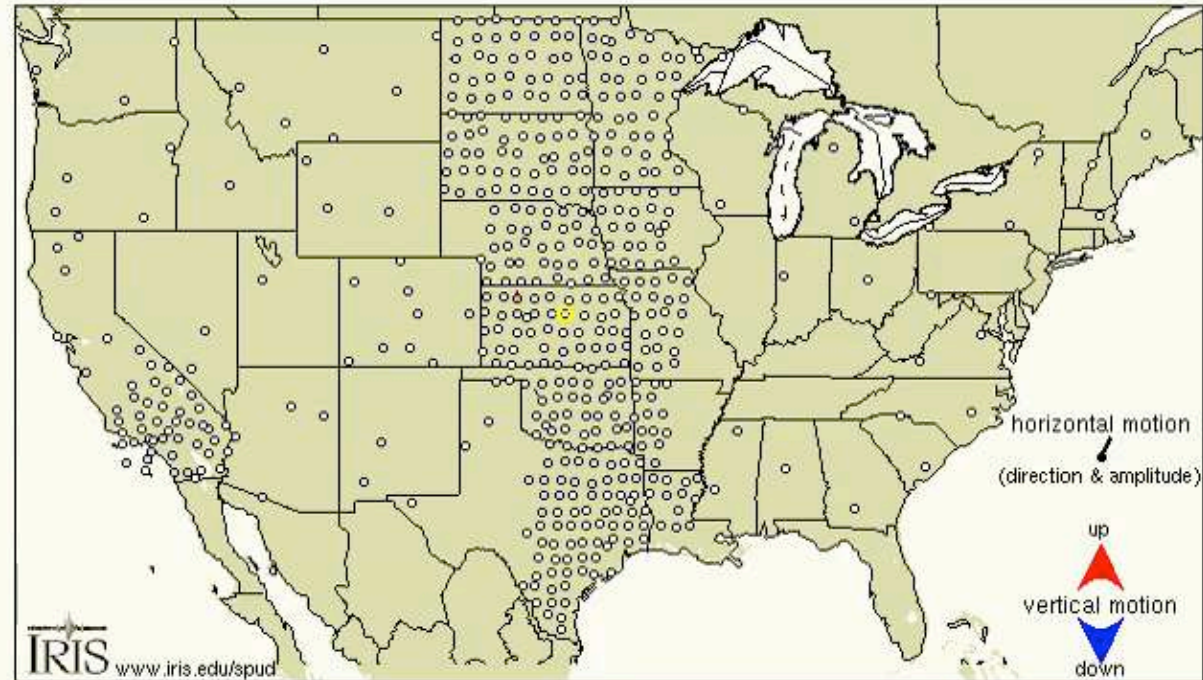
# Ausbreitung seismischer Wellen

360° in 180 min  $\approx$  40'000 km in 10'800 s  $\approx$  4 km/s

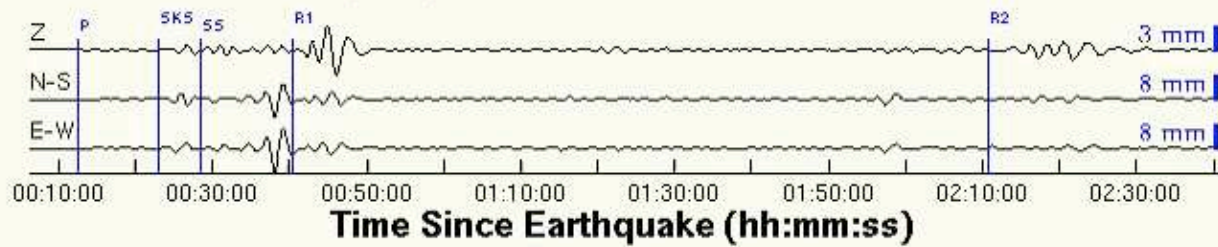


# Bodenbewegung in den USA

March 11, 2011, NEAR EAST COAST OF HONSHU, JAPAN, M=8.9



2011/03/11 05:52:35 UTC (372 s) Distance 85.0°/9452 km Azimuth 42.7° Reference Q33A





# Ausbreitung eines Erdbebens

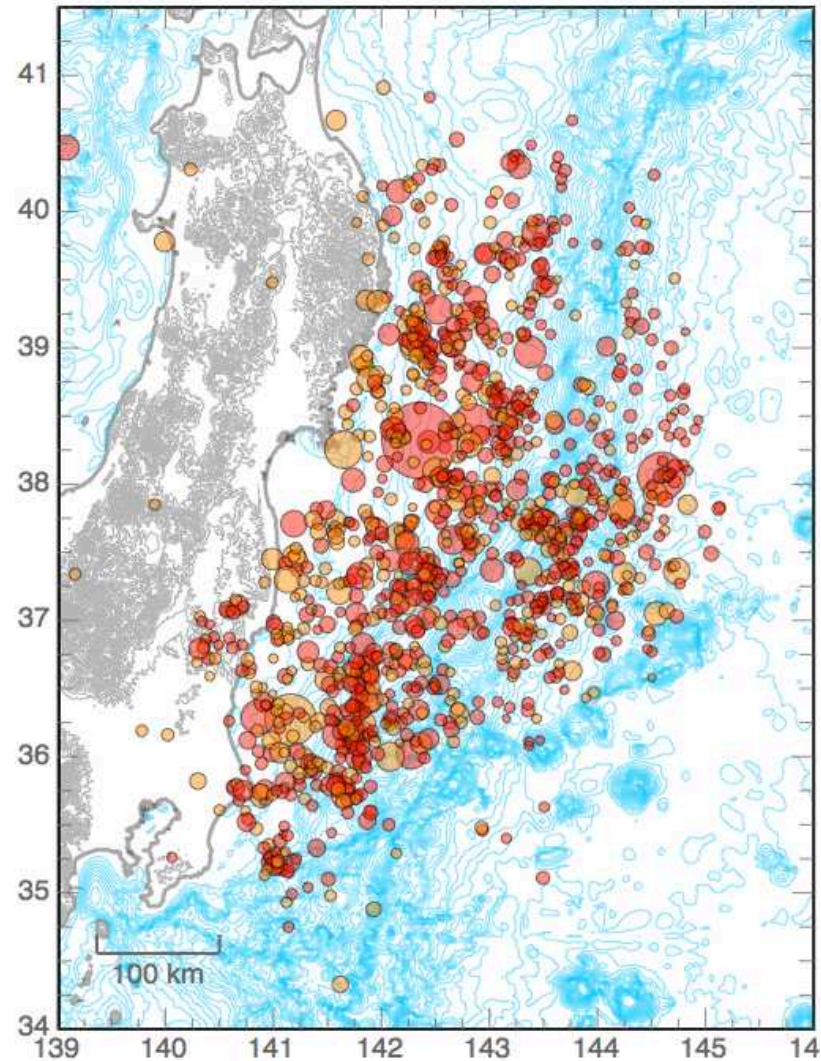
Intensität = 'gefühlte Magnitude'



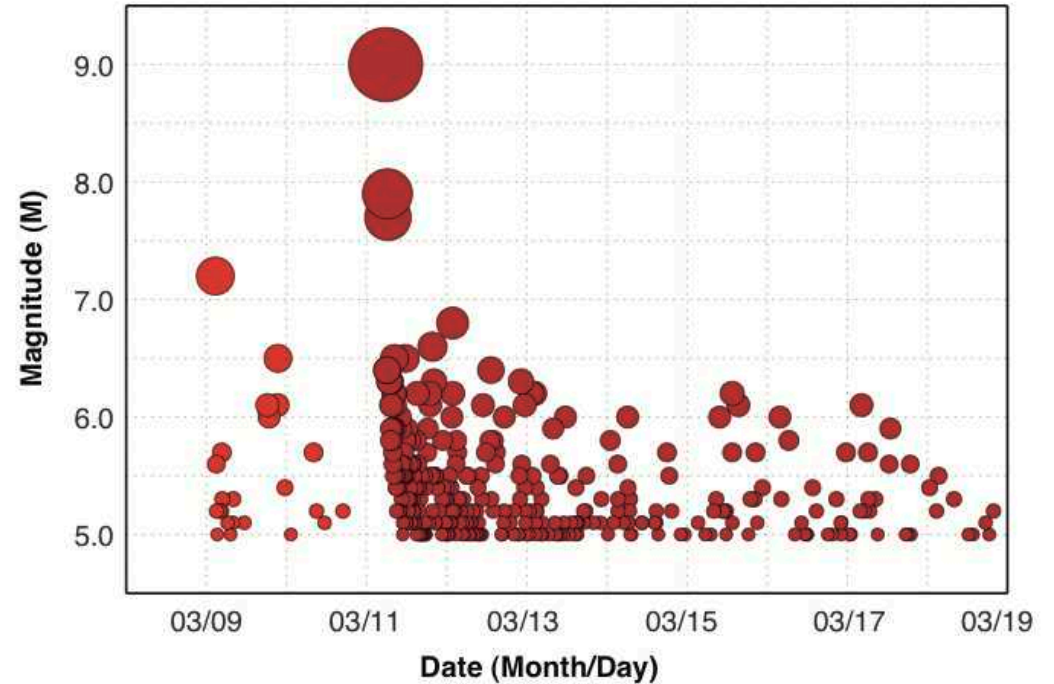
100 km

# von pre-shock bis after-shock

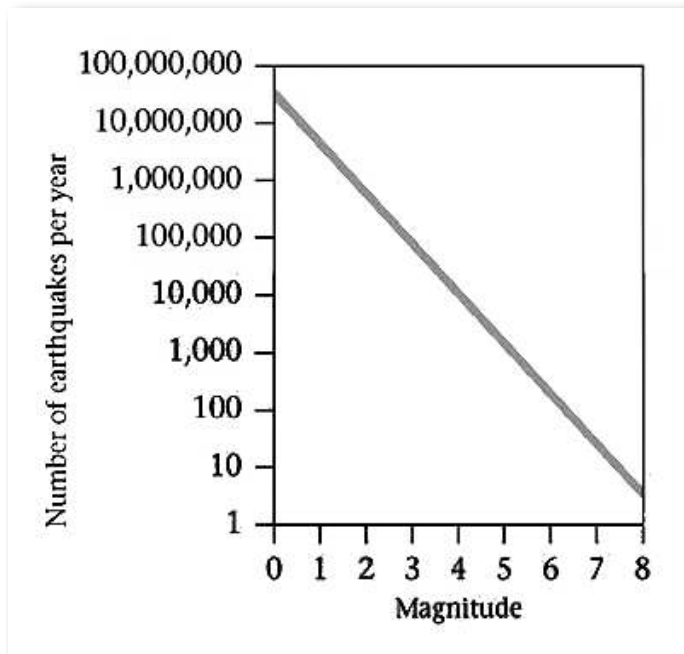
9. März - 4. April 2011



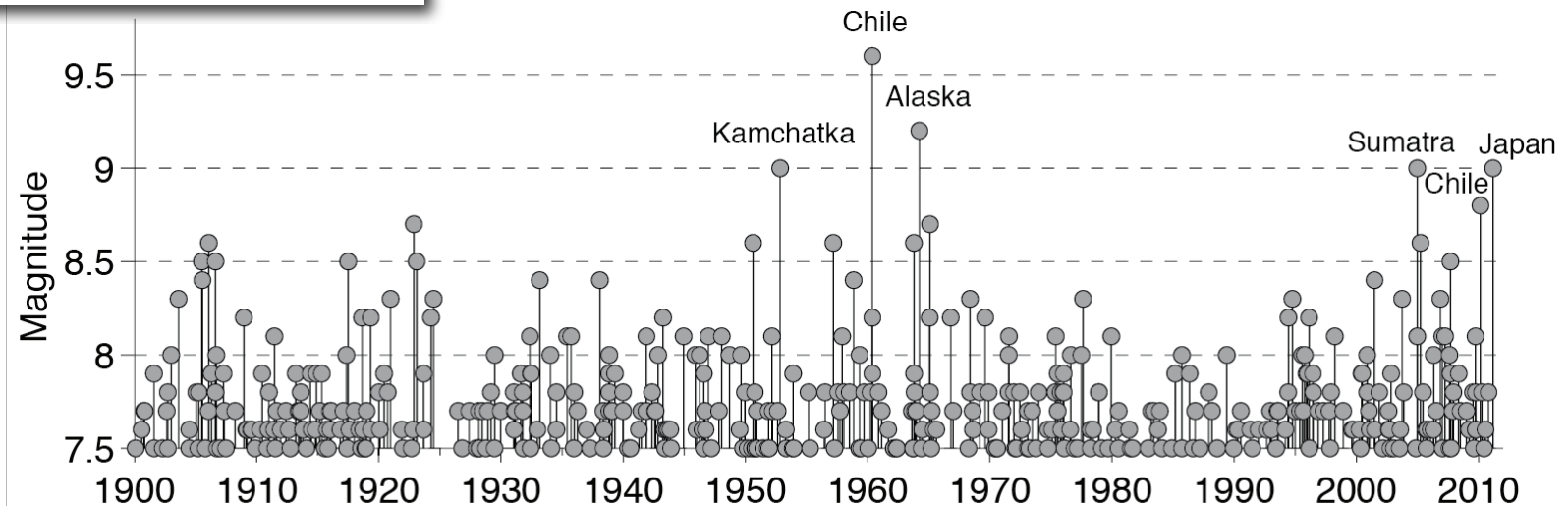
9. - 19. März 2011



# Erdbeben - statistische Ereignisse



... grosse Erdbeben sind seltene Ereignisse



# wie Erdbeben untersuchen ?

... und warum unter dem Mikroskop ?

## was will man ansehen ?

- den slip selbst während des Erdbebens ?
- den aseismic creep dazwischen ?

## wo gibt es Daten ?

Aktive Plattengrenzen:

- Bohrungen (SAFOD, Nojima)

Experimente

- high speed - für seismic slip
- creep - für interseismic creep

Feldarbeit

- fossile Erdbeben
- fossile creeping faults

# Zusammenarbeit und Unterstützung



University of Wyoming



University of Tromsø



Brown University



MIT



Texas A&M University



University of Utrecht



University of Kyoto

Schweizerischer Nationalfonds



SWISS NATIONAL SCIENCE FOUNDATION



# Geosciences



## European Geosciences Union General Assembly 2015

Vienna | Austria | 12 – 17 April 2015

EGU.eu



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Programme

## European Geosciences Union General Assembly 2015 Vienna | Austria | 12 – 17 April 2015

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| <input type="checkbox"/> Atmospheric Sciences (AS)                       | <input type="checkbox"/> Hydrological Sciences (HS)                               |
| <input type="checkbox"/> Biogeosciences (BG)                             | <input type="checkbox"/> Natural Hazards (NH)                                     |
| <input type="checkbox"/> Climate: Past, Present, Future (CL)             | <input type="checkbox"/> Nonlinear Processes in Geophysics (NP)                   |
| <input type="checkbox"/> Cryospheric Sciences (CR)                       | <input type="checkbox"/> Ocean Sciences (OS)                                      |
| <input type="checkbox"/> Earth Magnetism & Rock Physics (EMRP)           | <input type="checkbox"/> Planetary & Solar System Sciences (PS)                   |
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| <input type="checkbox"/> Earth & Space Science Informatics (ESSI)        | <input type="checkbox"/> Stratigraphy, Sedimentology & Palaeontology (SSP)        |
| <input type="checkbox"/> Geodesy (G)                                     | <input type="checkbox"/> Soil System Sciences (SSS)                               |
| <input type="checkbox"/> Geodynamics (GD)                                | <input type="checkbox"/> Solar-Terrestrial Sciences (ST)                          |
| <input type="checkbox"/> Geosciences Instrumentation & Data Systems (GI) | <input checked="" type="checkbox"/> Tectonics & Structural Geology (TS)           |
| <input type="checkbox"/> Geomorphology (GM)                              |   |

### TS – Tectonics & Structural Geology

#### Programme Committee Login

- TS1 – Brittle Deformation and Fault-related Processes
- TS2 – Ductile Deformation, Metamorphism and Magmatism
- TS3 – Interplay between Tectonics and Surface Processes
- TS4 – Earthquakes and Tectonics
- TS5 – Extensional Tectonic Settings
- TS6 – Convergent Tectonic Settings
- TS7 – Plate-scale Tectonics
- TS8 – Methods and Techniques in Tectonics and Structural Geology
- TS9 – General Topics in Tectonics and Structural Geology
- TS10 – Workshops
- TS11 – Co-listed sessions

Geophysical Research Abstracts  
Vol. 17, EGU2015-4098, 2015  
EGU General Assembly 2015  
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#### The viscous and frictional strength of faults in experiment and nature

Rene Heilbroner (1), Manj Pev (2), and Holger Staudt (3)  
(1) Geological Institute, Brand University, Brand, Switzerland (rene.heilbroner@brand.ch), (2) Manj Pev, University of Minnesota (mpev@umn.edu), (3) Department of Geology, Tromsø University, Tromsø, Norway (holger.staudt@tuh.no)

In an extended study of one of the authors (PhD thesis of Manj Pev), the deformational behaviour of granitoid fault rocks was explored using a Griggs solid medium deformation apparatus and a range of temperatures ( $T = 300\text{C}$  to  $800\text{C}$ ), confining pressures ( $P = 0.5$  to  $1.5\text{ GPa}$ ) and strain rates ( $\dot{\epsilon} = 10^{-3}$  to  $10^{-1}\text{ s}^{-1}$ ). Layers of crushed and sieved material (1 mm thick) were deformed between alumina forcing blocks (on a  $45^\circ$  pre-cut) to finite shear strains of up to  $\gamma = 4$ .

The deformation within the fault rock layers is one of plane shear accompanied by considerable thinning. To a certain extent, extension occurs parallel to the displacement direction but not transverse to it. The fault rock material does not deform homogeneously, rather the microstructure develops from an initial Riedel fracture set into an SC fabric at higher strains. Progressive comminution leads to strain partitioning with a microstructure that is characterized by a few survivor grains surrounded by a fine grained matrix of the same mineral composition as the survivor grains and an evolving network of slip zones consisting – at first – of nano-crystalline, partially amorphous and – at higher strains – of completely amorphous material.

The slip zones are approx.  $10\ \mu\text{m}$  thick – they are the site of highly localized shear strain. They form a preexisting network from one end of the shear zone to the other and must be considerably weaker than the surrounding material as evidenced by turbulent flow structures and the occasional formation of apophyses. Yes, the fault rock layers as a whole support high shear stresses ( $\tau = 570 - 1000\text{ MPa}$ ) and even in the presence of a fully connected network of slip zones (forming up to 20 vol% of the total fault rock material), they continue to deform at more or less steady state stress levels.

Within the range of experimental conditions, the flow stress sustained by the fault rock depends clearly on confining pressure (indicating a frictional component of flow) and temperature (indicating a viscous component) but only weakly on strain rate. Whether the fault rocks are comparatively strong or weak depends on which criterion is used to describe strength. For example, our experiments show that the flow stresses increase for increasing confining pressure. At the same time, the friction coefficient ( $\tau/\sigma_n$ ) decreases. In other words: with respect to the sustained flow stress, faults are 'Pc-strengthening' with respect to the friction coefficient, they are 'Pc-weakening'.

To extrapolate our experimental data to nature and to compare them to friction experiments, we present our results in terms of equivalent viscosity describing the deformation of a thin volume of material, and in terms of the friction coefficient describing the displacement along a 'thick' fault surface. We present a simple conceptual model for the temporal and spatial evolution of the geometry or topology of the weak slip zones, and the interplay between viscous and brittle behavior of faults at all scales.

Geophysical Research Abstracts  
Vol. 17, EGU2015-13721, 2015  
EGU General Assembly 2015  
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#### Mafic rocks at the brittle-viscous transition – interplay between fracturing, reaction and viscous deformation

Sina Mann (1), Holger Ståhlitz (2), and Rene Heilbroner (1)  
(1) Geological Institute, Brand University, Switzerland (sina.mann@brand.ch), (2) Department of Geology, Tromsø University, Norway

Deformation experiments have been performed on crushed Maryland Dabase (~55% Plg/An60-70, 42% Pz, 3% accessories, 0.2 wt-% H<sub>2</sub>O added) in a Griggs-type deformation apparatus in order to explore the brittle-viscous transition and the interplay between deformation and mineral reaction. Shear experiments at constant displacement rate of  $1e-8\text{ s}^{-1}$  (resulting shear strain rate ~  $1e-5\text{ s}^{-1}$ ) are conducted at  $T=600$  to  $800\text{C}$  and confining pressures of  $P=0.1$  to  $0.5\text{ GPa}$ .

Below  $700\text{C}$ , the microstructure is dominated by brittle deformation processes. At  $700\text{C}$  the steady state strength approaches the Geste criterion. The microstructure shows less evidence of brittle deformation and the onset of mineral reaction and diffusive mass transport are observed. Samples deformed at  $800\text{C}$  sustain significantly lower stresses than the Geste criterion and reaction products are far more abundant. For both,  $700\text{C}$  and  $800\text{C}$  experiments, the main reaction products are Amph, Plg(An45-50) and zoisite (Zs), at  $P=0.1$ .

Deformation localizes in all experiments. At  $700\text{C}$ , displacement takes place either along shear fractures or in shear bands formed by fine grained Plg and fibrous Amph. Reaction products such as Amph and Plg occur almost exclusively along such zones of localized deformation. Strain energy introduced by early fracturing seems to be an important factor enhancing reaction kinetics.

At  $800\text{C}$ , strain localizes into broader shear bands formed by a mixture of Plg + Amph (+ Zs). Phases in shear bands are extremely fine grained with equivalent diameters between  $0.1 - 0.4\ \mu\text{m}$  for Plg. Pz grains rarely show signs of deformation and mostly form porphyroclasts overgrown by Amph. Fracturing is largely absent. The spatial distribution of Amph within the shear bands indicates material transport and precipitation of Amph between Plg-Pz boundaries. Thermodynamic modeling suggest that phases such as Grt + Cpx should grow abundantly but grow only in minor amounts ( $< 1\text{ vol.-%}$ ). Amph and Plg have the highest nucleation rates. The reaction rate seems to be limited by the nucleation rate of phases.

Minor partial melting ( $< 1\text{ vol.-%}$ ) occurs in the  $800\text{C}$  experiments. Localization of deformation occurs predominantly along favourably oriented grain- and phase-boundaries. At sites of incipient deformation cavities are observed, which are often filled by the melt phase.

While in the  $700\text{C}$  experiments brittle processes kinematically contribute to deformation, fracturing is largely absent at  $800\text{C}$ . Diffusive mass transfer dominates. The absence of thermodynamically stable phases such as Grt and Cpx is explained by low nucleation rates. On the other hand, Amph and Plg are able to react sufficiently fast to keep up with the high experimental strain rates and the relatively low experimental  $T$ . The very small grain size within shear bands in the  $700\text{C}$  and  $800\text{C}$  experiments favours grain size sensitive deformation mechanisms. Due to the presence of water (and relatively high supported stresses), dissolution-precipitation creep is interpreted to be the dominant strain accommodating mechanism. Thus, viscous deformation takes place at comparatively low experimental temperatures, hence providing a realistic phase assemblage and likely deformation mechanism in a strong lower crust.

# Vorbemerkung I

spröde Verformung

diskontinuierlich

schnell

lokalisiert

heterogen

typisch: Verwerfungen

nahe der Oberfläche

tiefe Drucke

hohe Spannungen

tiefe Temperaturen

≠

duktile Verformung:

kontinuierlich

langsam

penetrativ

typisch: Falten

in grosser Tiefe

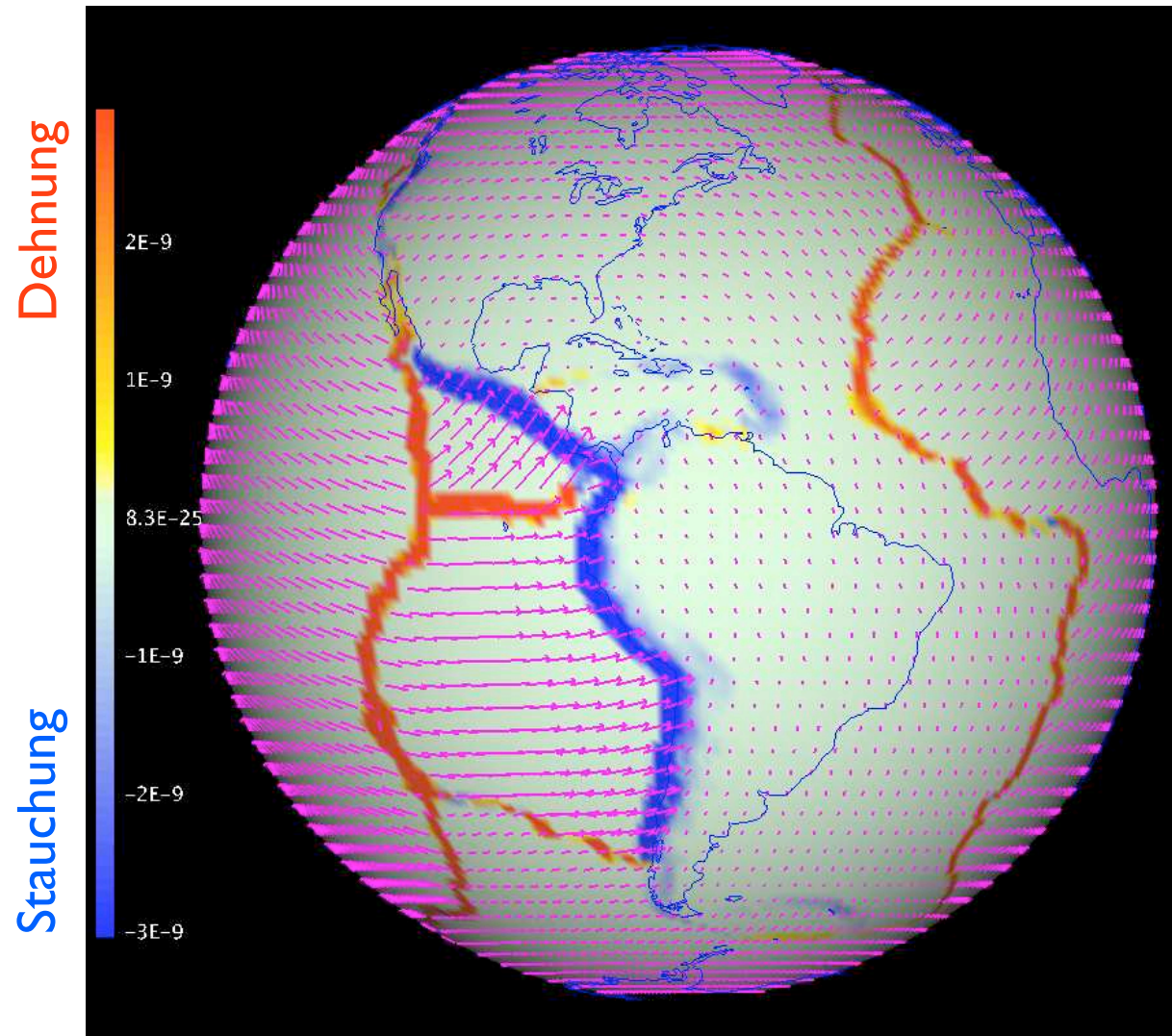
hohe Drucke

tiefe Spannungen

hohe Temperaturen

# Vorbemerkung II

## Bewegung tektonischer Platten



→ Absolutbewegung

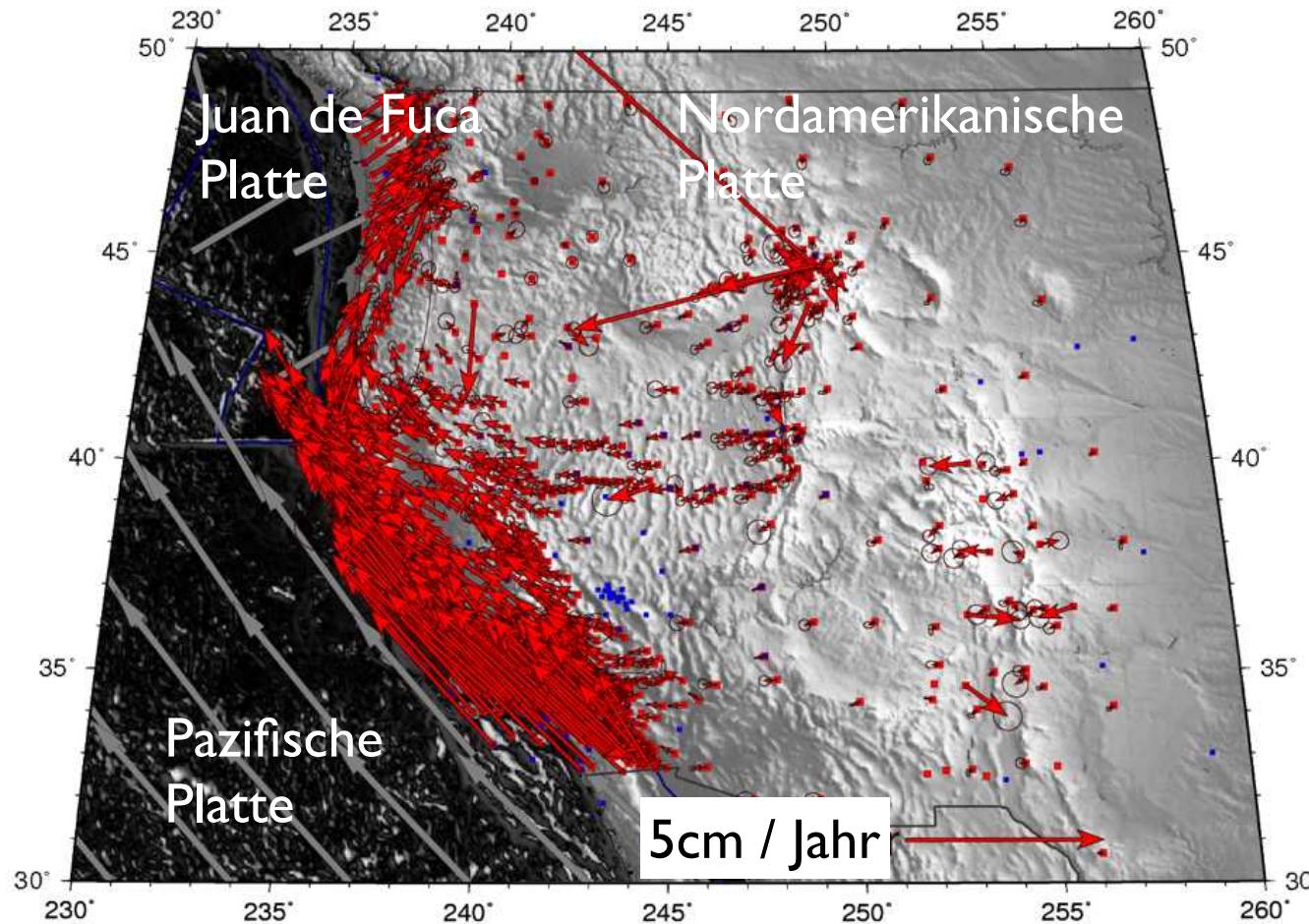
1-10 cm / Jahr  
kontinuierlich

aufgrund der  
Mantelkonvektion  
im Erdinnern



# Vorbemerkung III

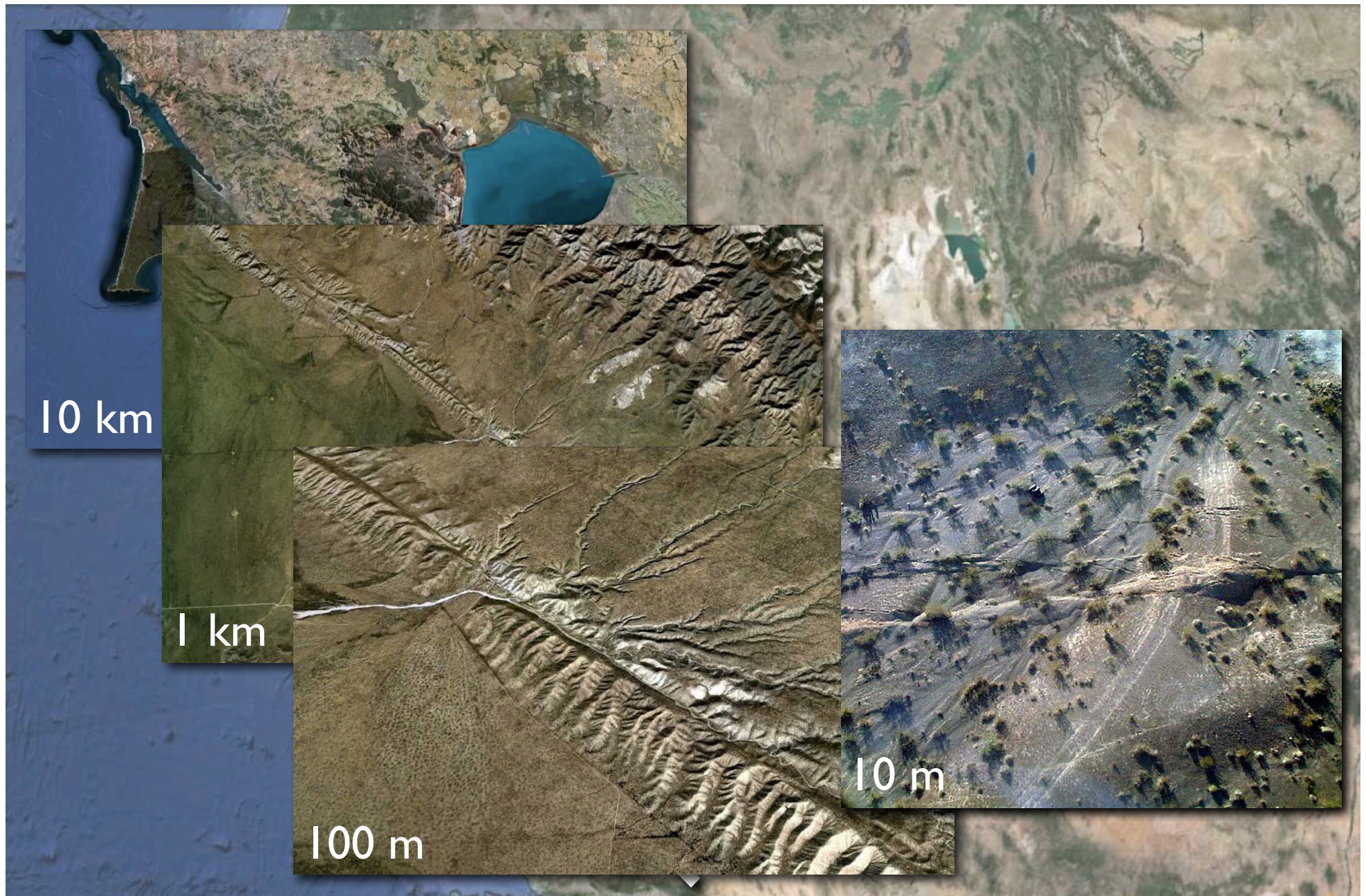
## Bewegung an Plattengrenzen



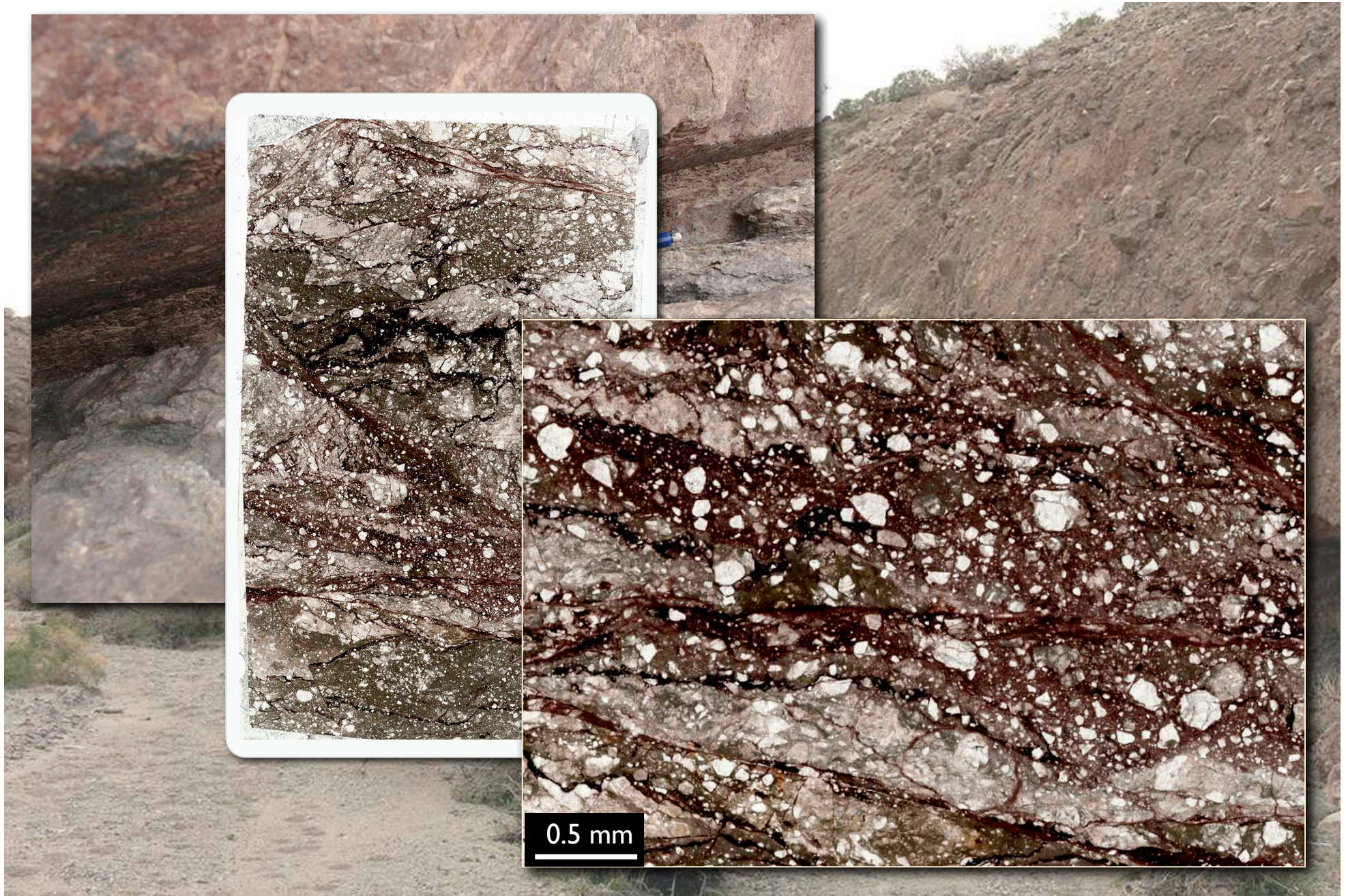
~5 cm / Jahr  
kontinuierlich...

... gemittelt über  
Hunderte km  
Millionen Jahre

# im Detail ...



... wird es diskontinuierlich



# Feldarbeit



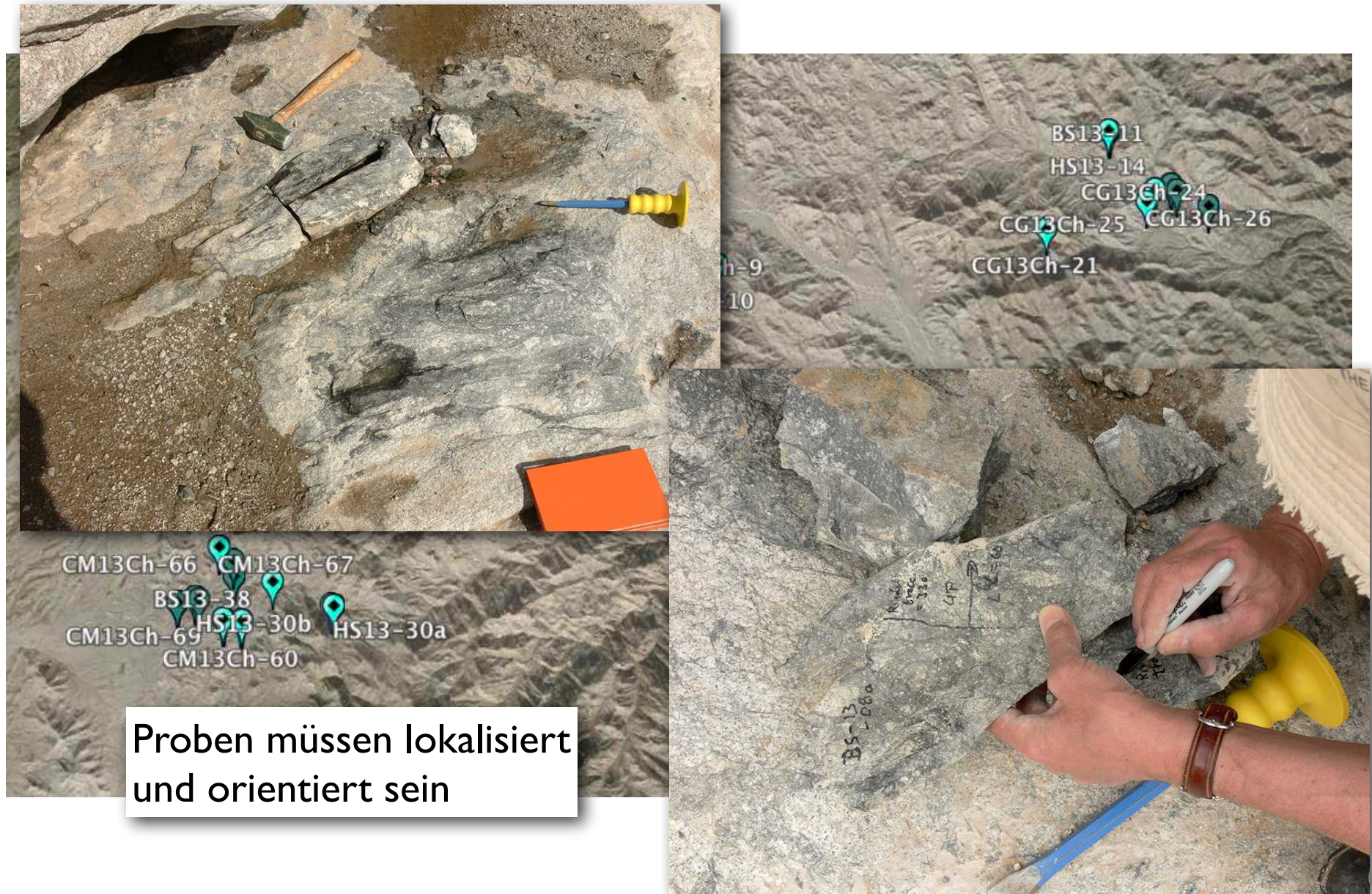
wie funktioniert eine Verwerfung ?

'low angle normal faults'  
(= basal detachment)



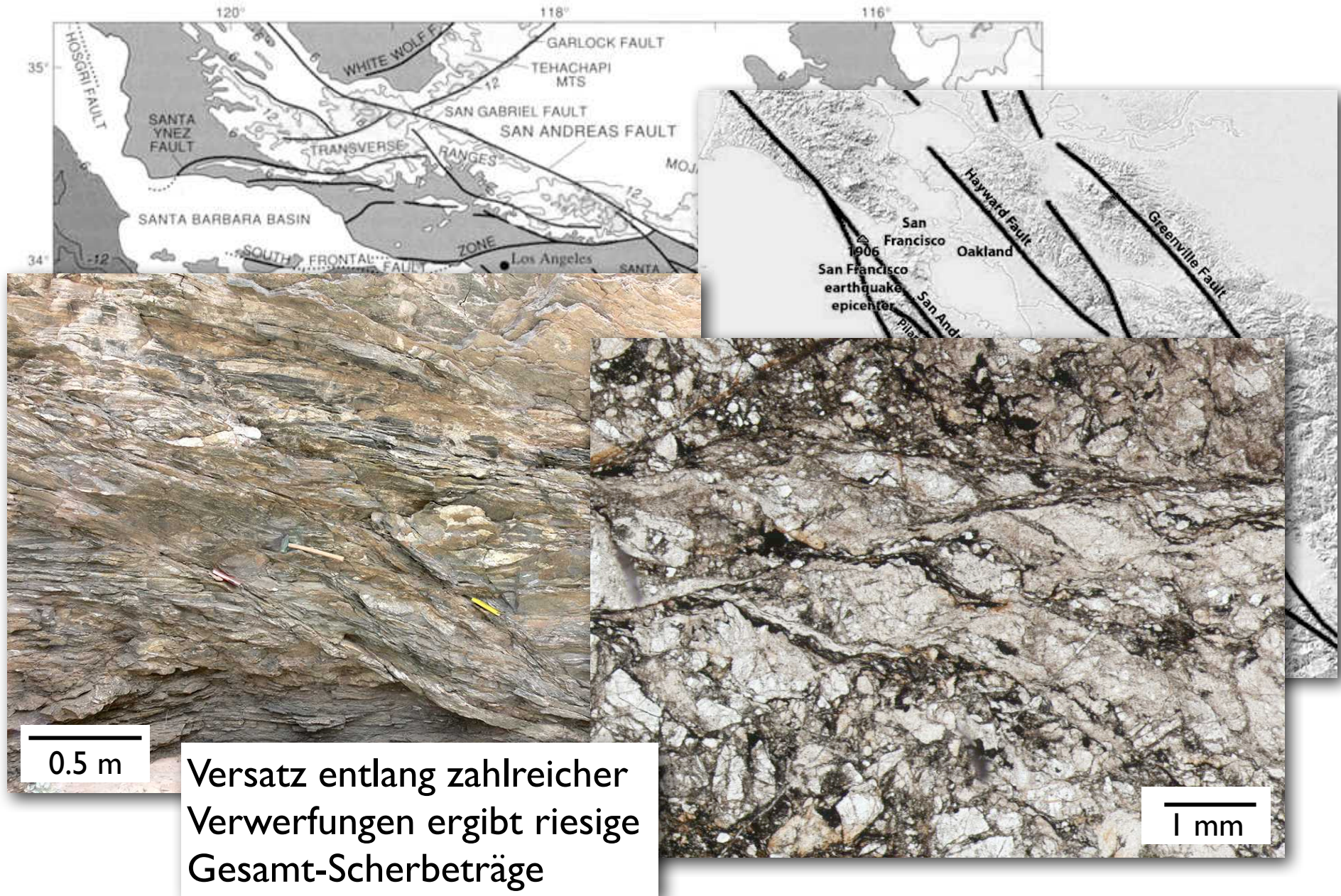
m - grosser Versatz findet an  
mm - dünnen Zonen statt

# Probennahme



Proben müssen lokalisiert  
und orientiert sein

# fault splays



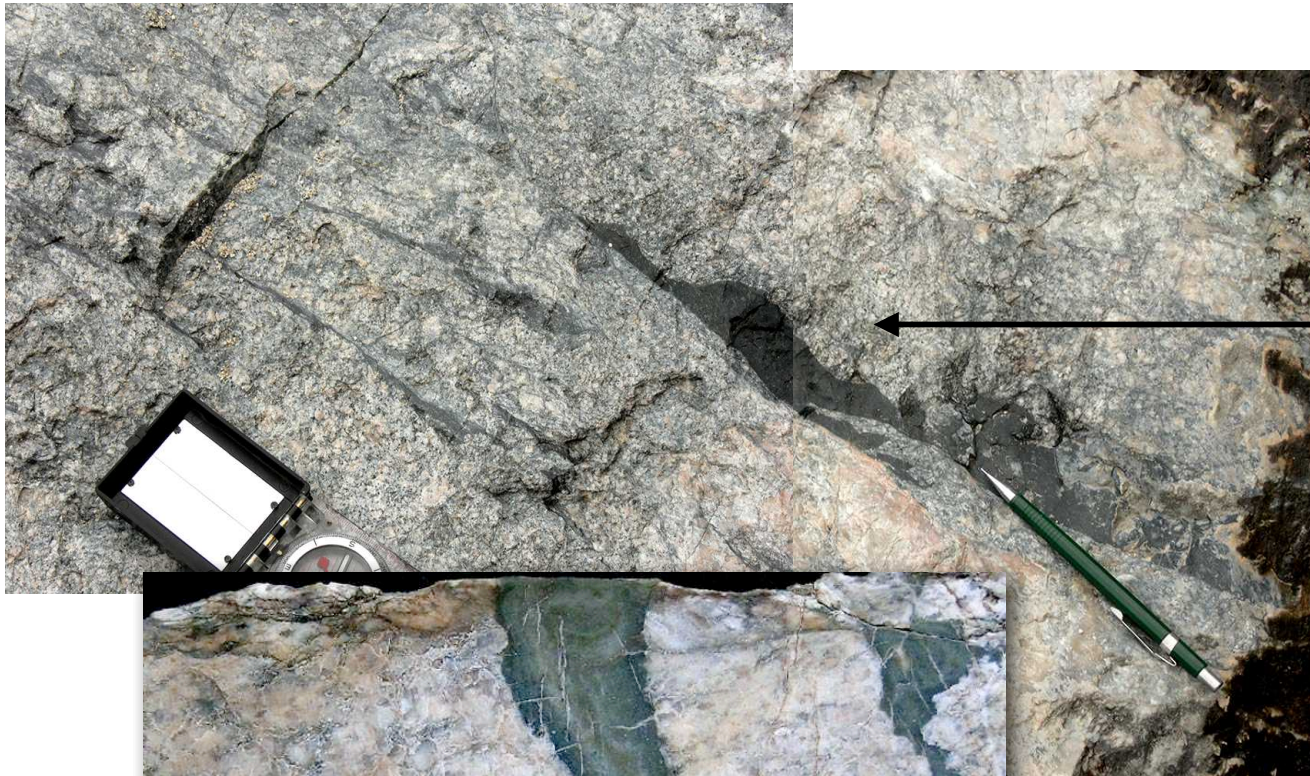
Versatz entlang zahlreicher Verwerfungen ergibt riesige Gesamt-Scherbeträge

# Kornverkleinerung - comminution

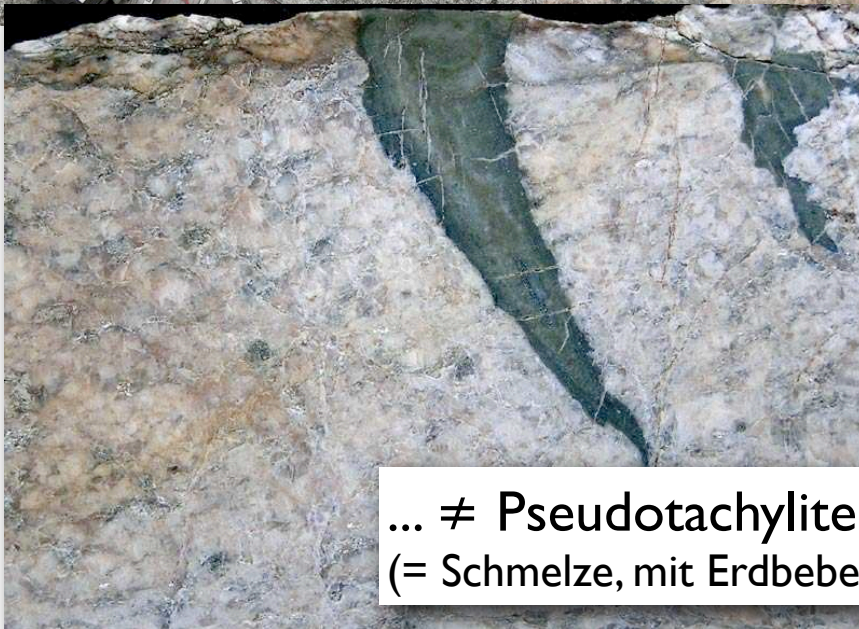


zwischen den aneinander vorbeigleitenden Gesteinblöcken werden die Mineralkörner zunehmend verkleinert

# Ultrakataklasit



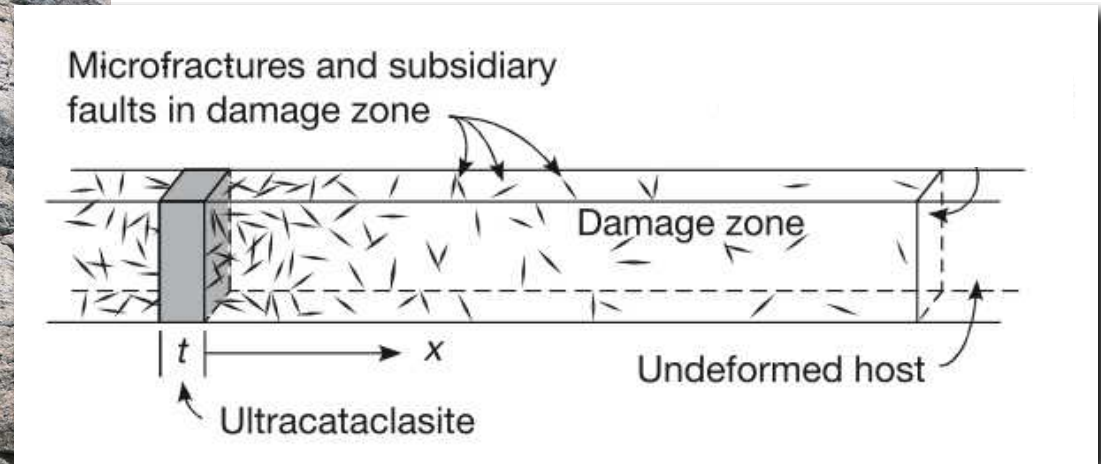
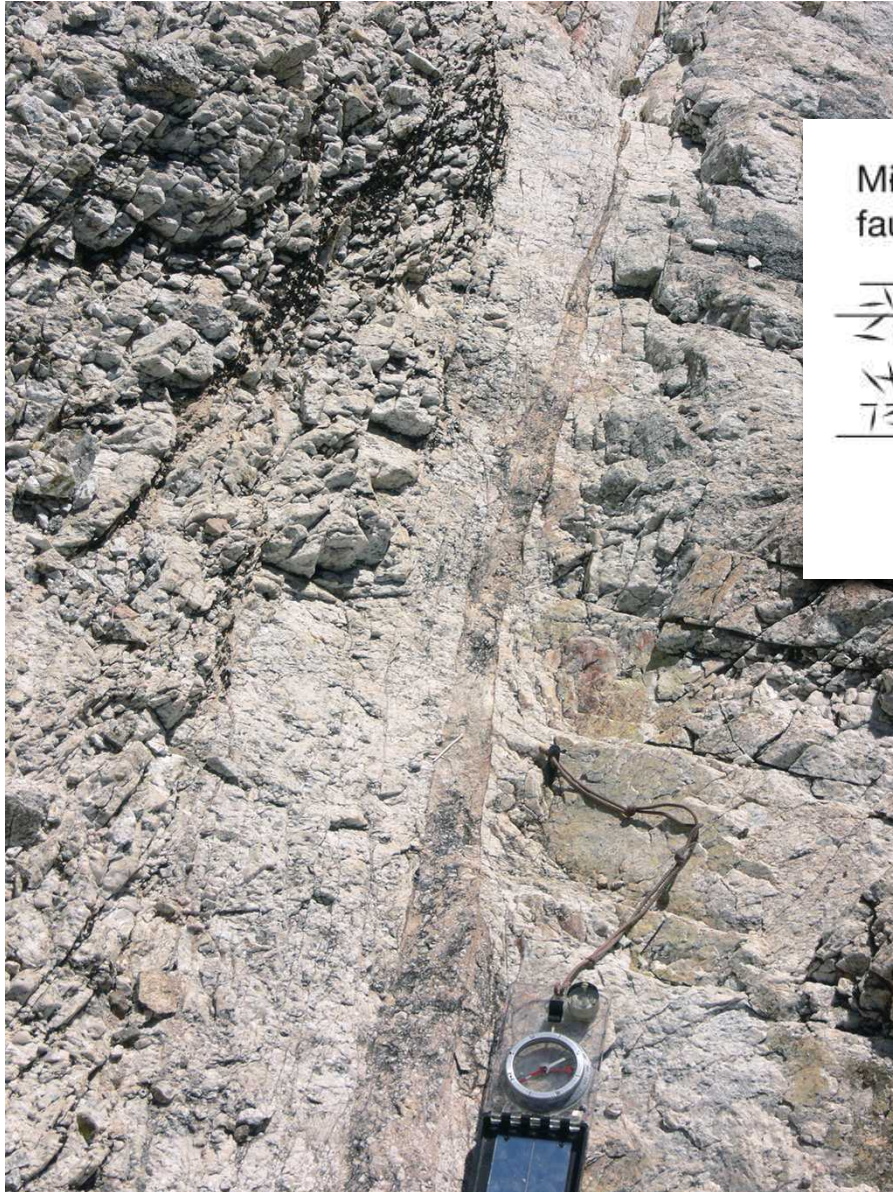
partiell amorph bis  
vollständig amorph



... ≠ Pseudotachylite  
(= Schmelze, mit Erdbeben assoziiert)



# von der 'damage zone' ...



damage zone (m):  
fragmentiertes Material

→ kaum Versatz

# ... zum 'fault core'



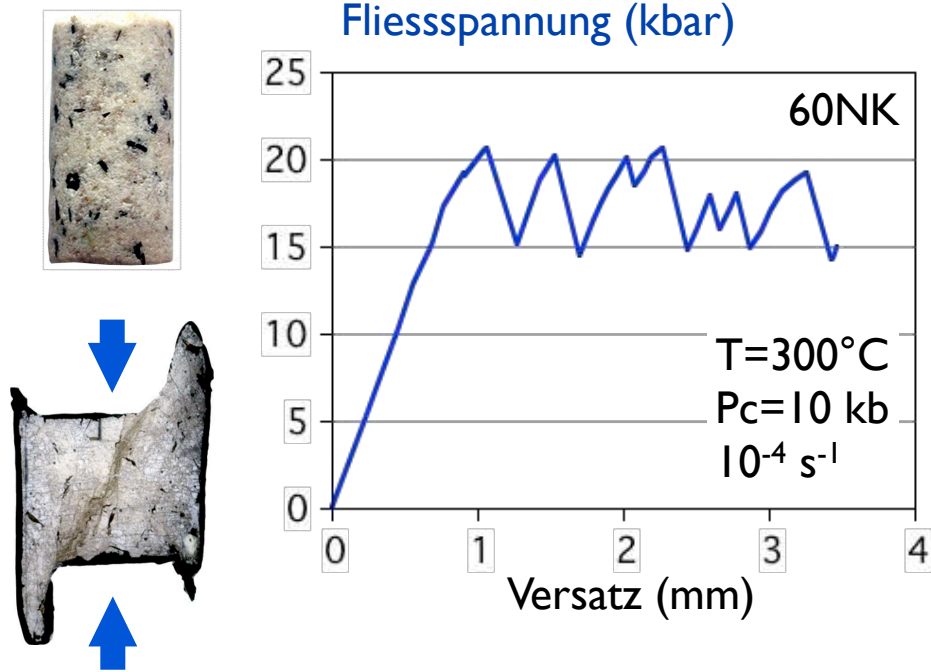
was passiert hier ?



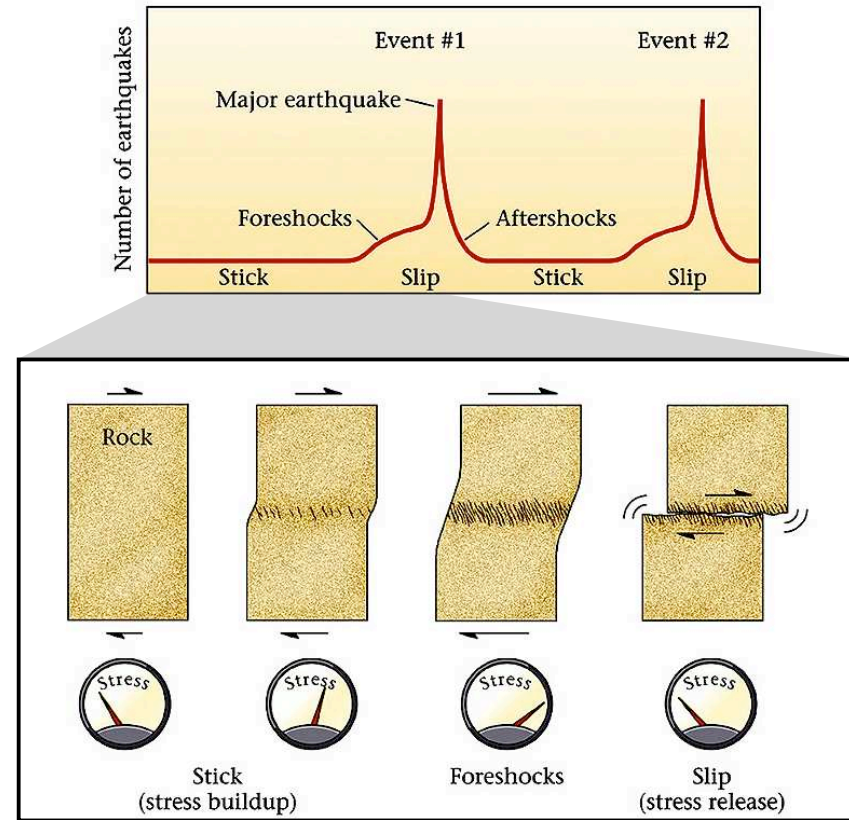
fault core (mm):  
Transport und Abrasion  
→ viel Versatz

# Deformationsexperimente: stick slip

Modell für Erdbeben



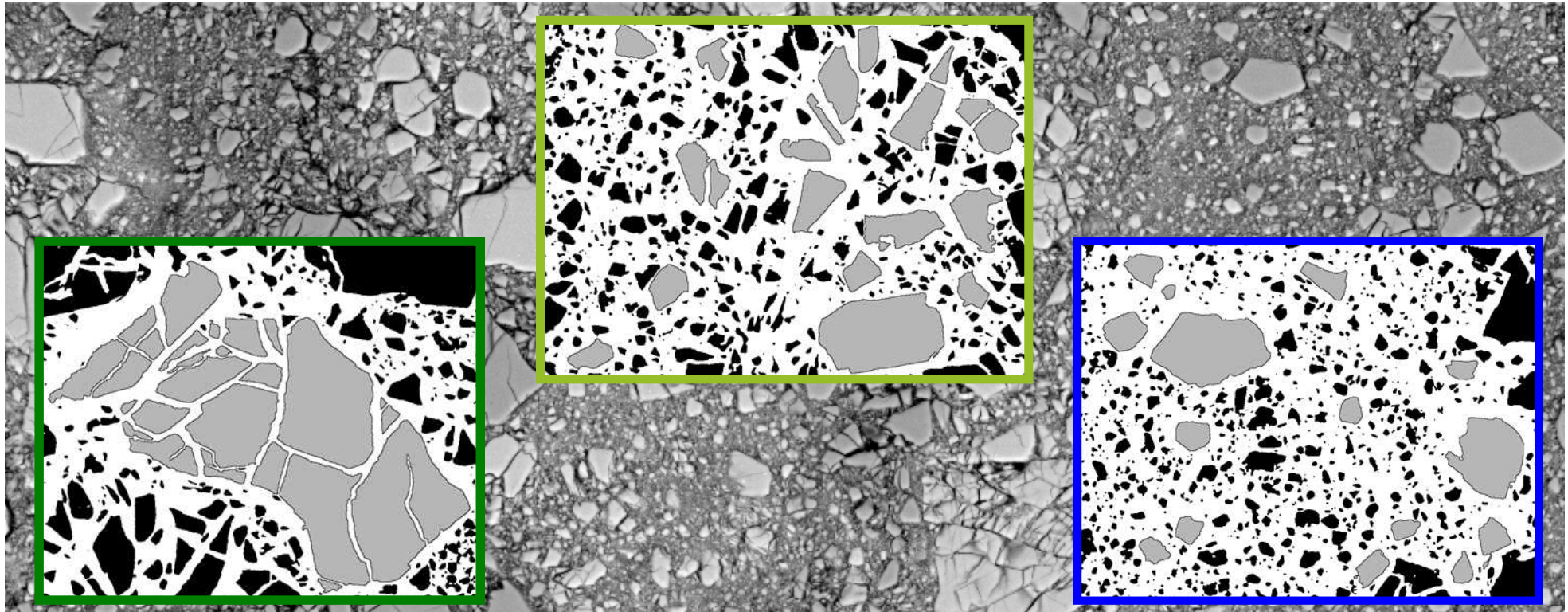
Experiment



Natur

Modellvorstellung

# Entwicklung einer Verwerfung



intaktes Gestein - Bruchbildung / Verwerfung - intaktes Gestein

cracked in situ → cracked moved → → gouge

# Korngrößen - das Mass aller Dinge



(Protokataklasit) Ausgangsgestein

Kataklasit

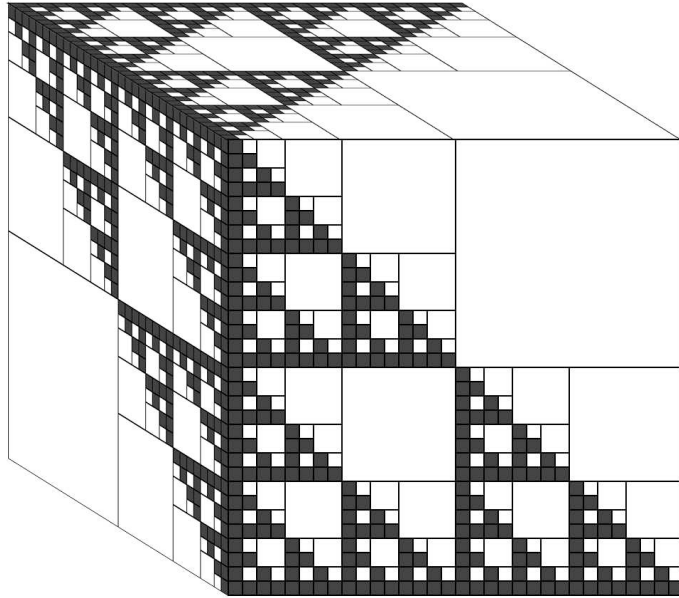
Ultrakataklasit

Partiell amorph / amorph

Pseudotachylit

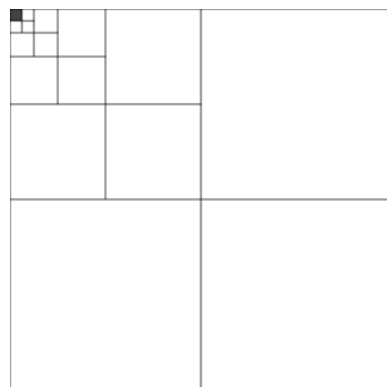
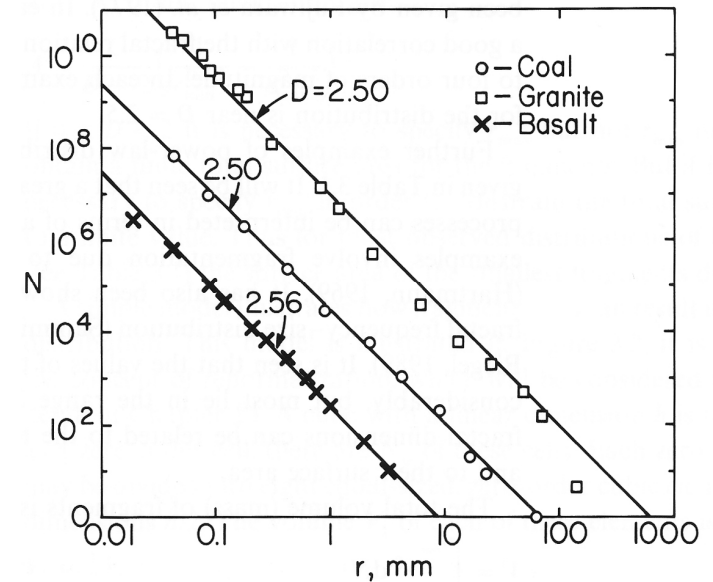
# fraktale Aspekte

## Fragmentierung

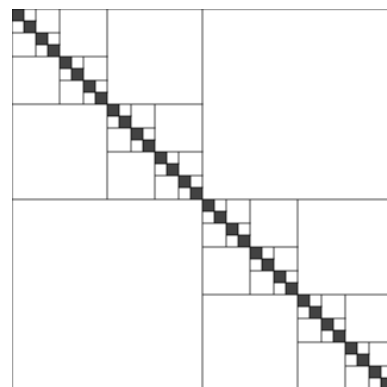


## fraktale Dimension D

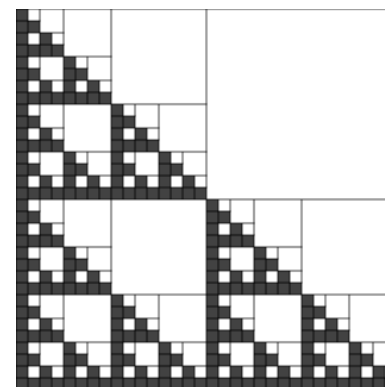
log - log plot



1/8 fragmented

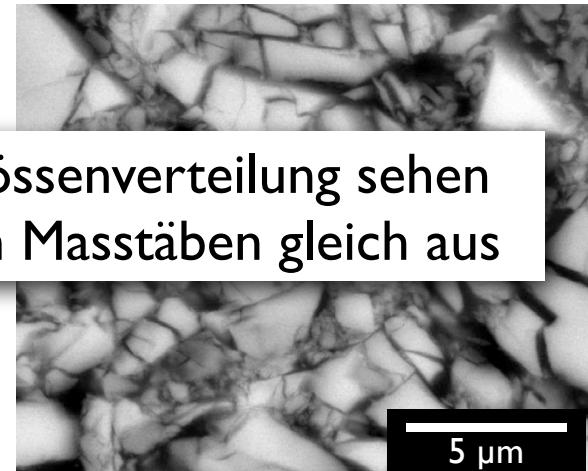
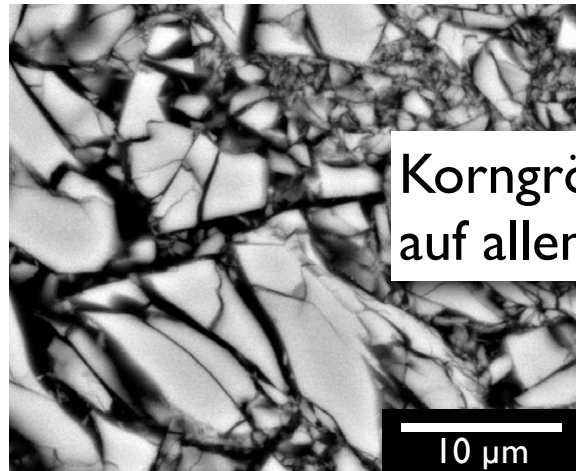
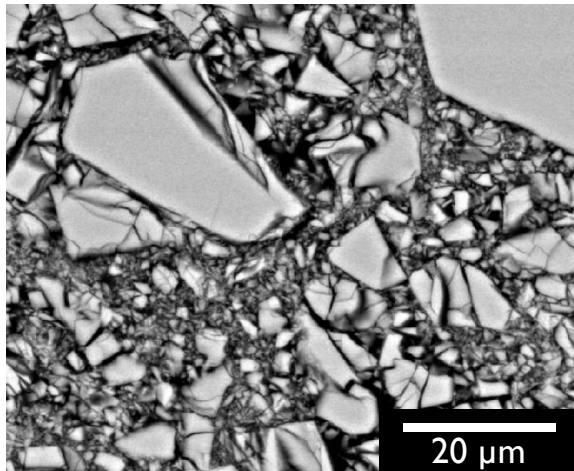
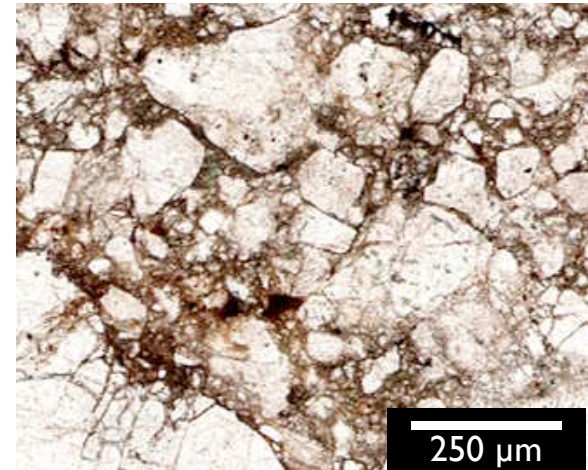
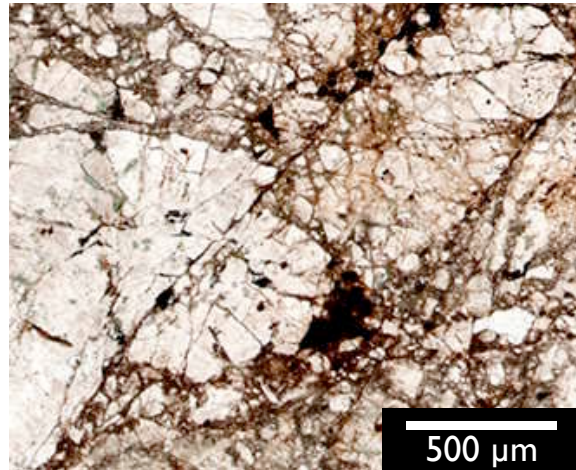
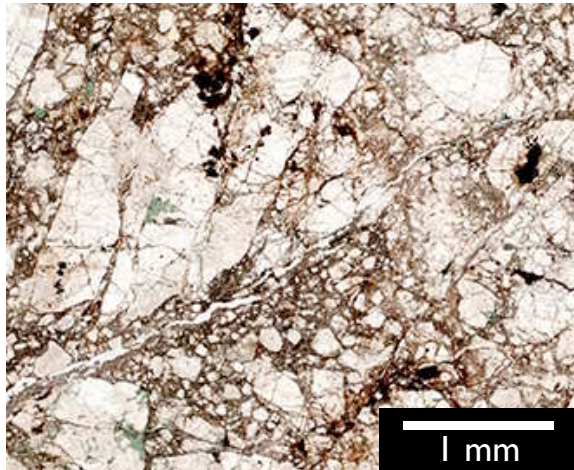
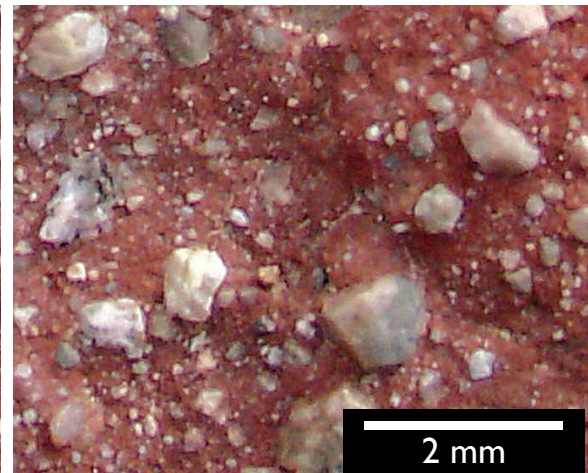
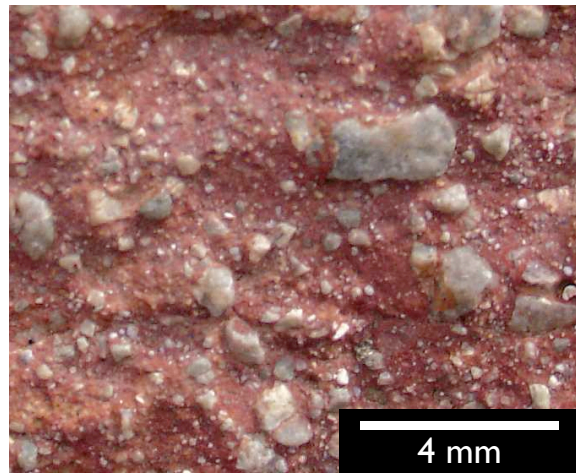
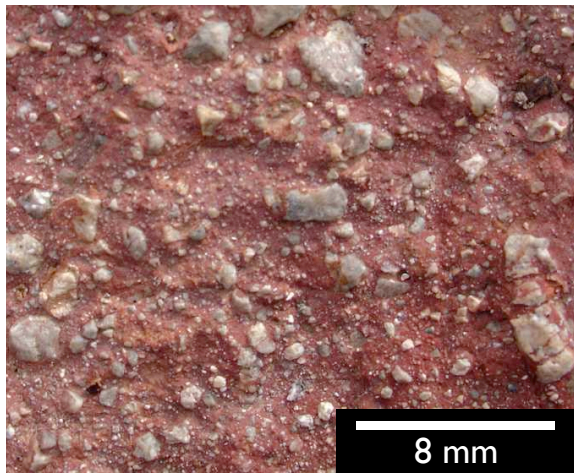


4/8 fragmented



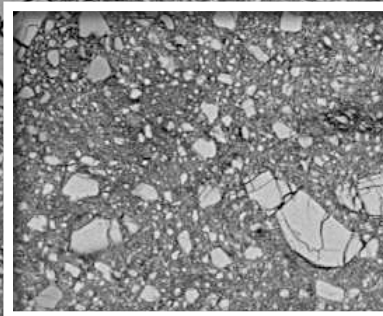
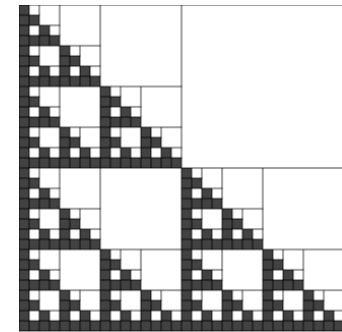
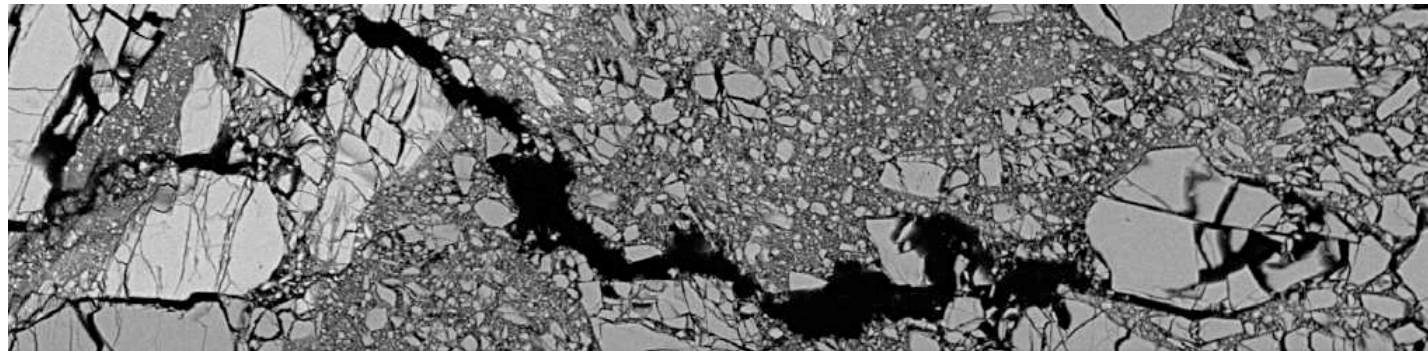
6/8 fragmented

je stärker fragmentiert  
desto höher D

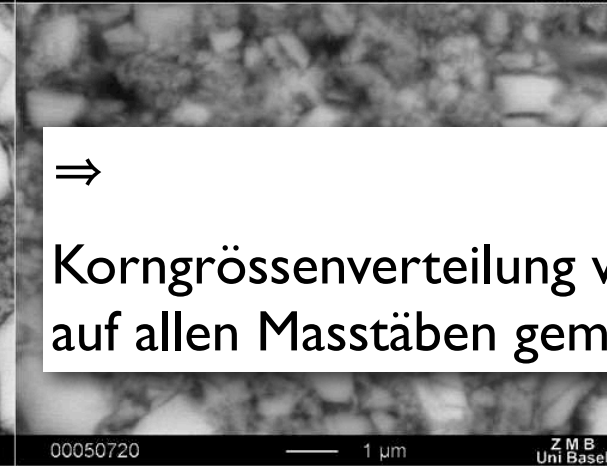
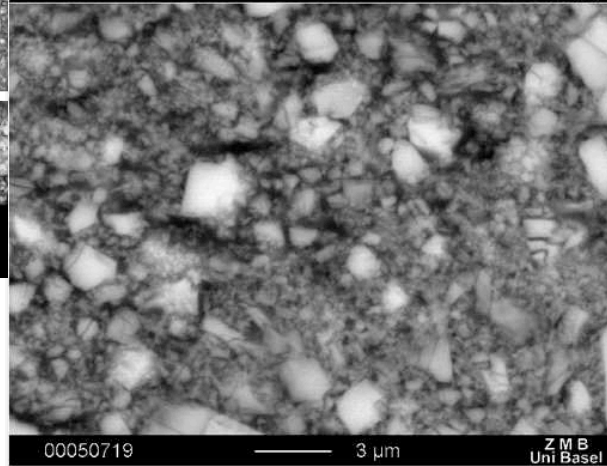
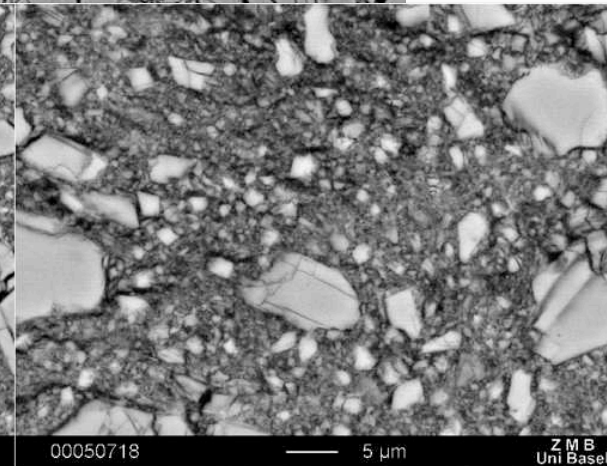
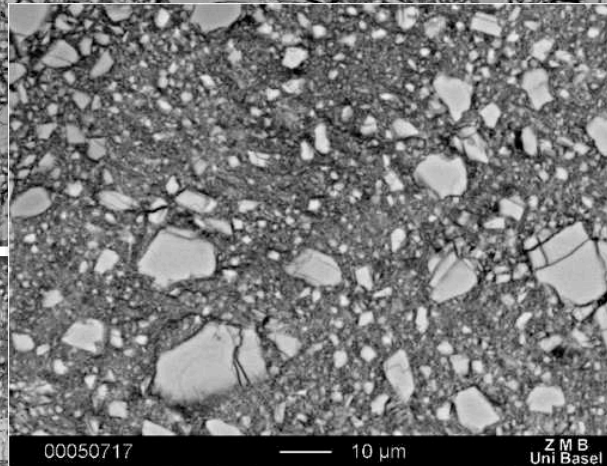


Korngrößenverteilung sehen auf allen Masstäben gleich aus

# Kaskaden - Grössenordnungen



00050715

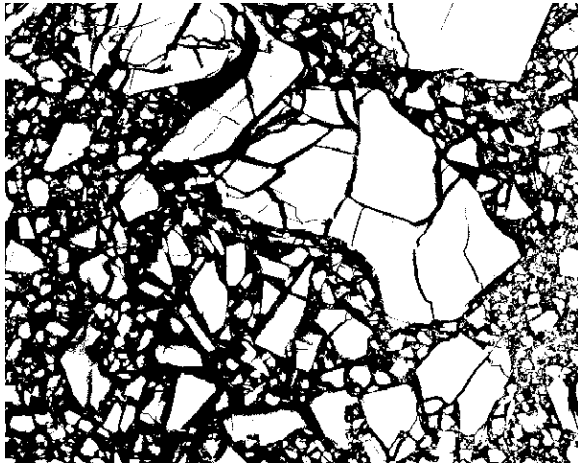


Korngrössenverteilung werden auf allen Masstäben gemessen

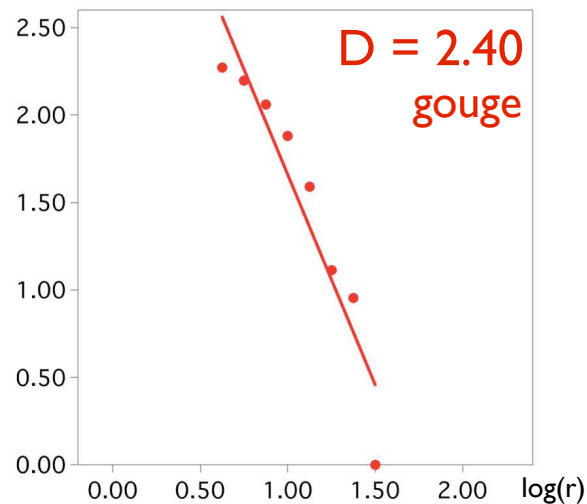
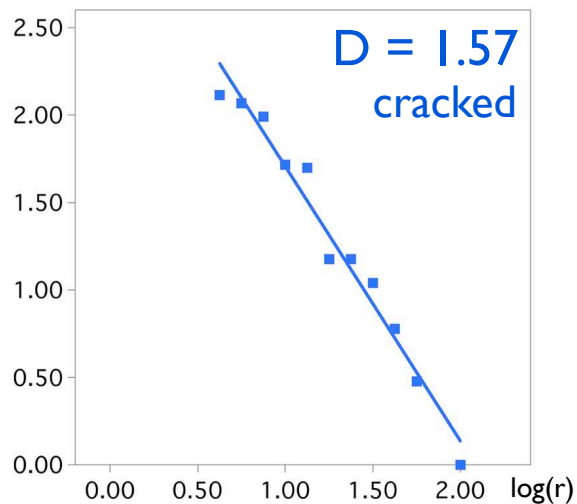
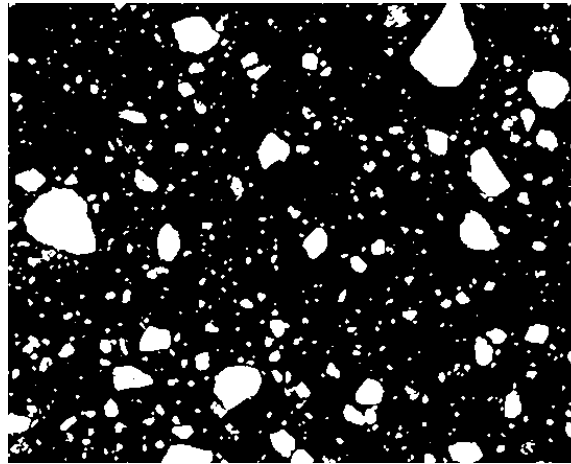


# fraktale Korngrößenverteilung

cracked  
nur fragmentiert



gouge  
fragmentiert und transportiert



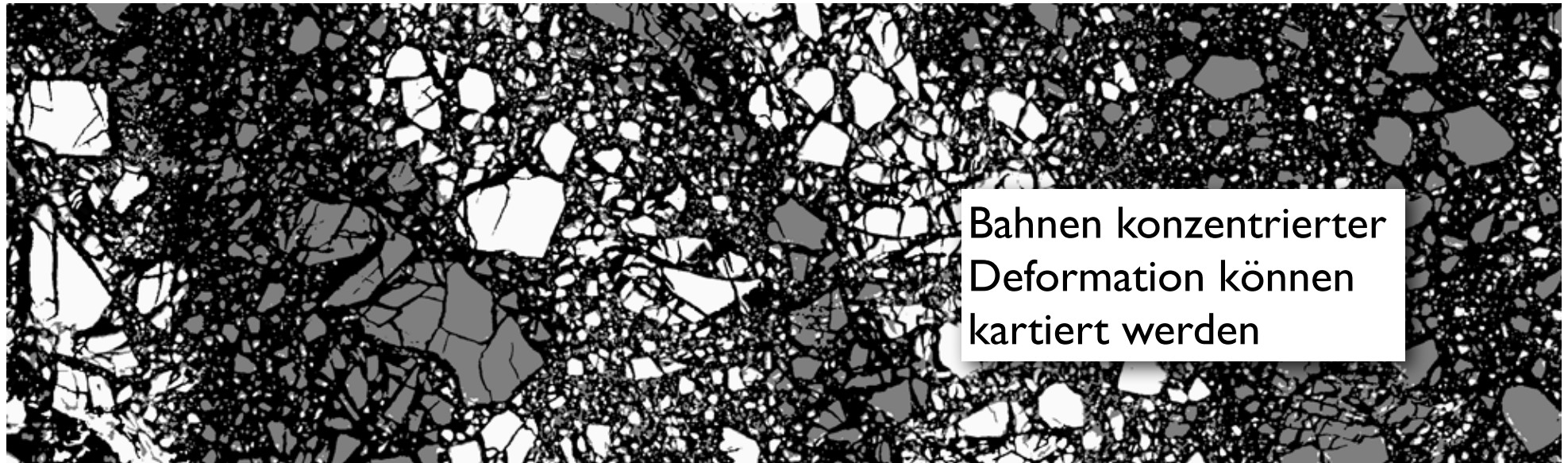
cracked  
fragmentiertes Material:  
 $D \approx 1.6$

gouge  
feines Gesteinsmehl:  
 $D > 2.00$

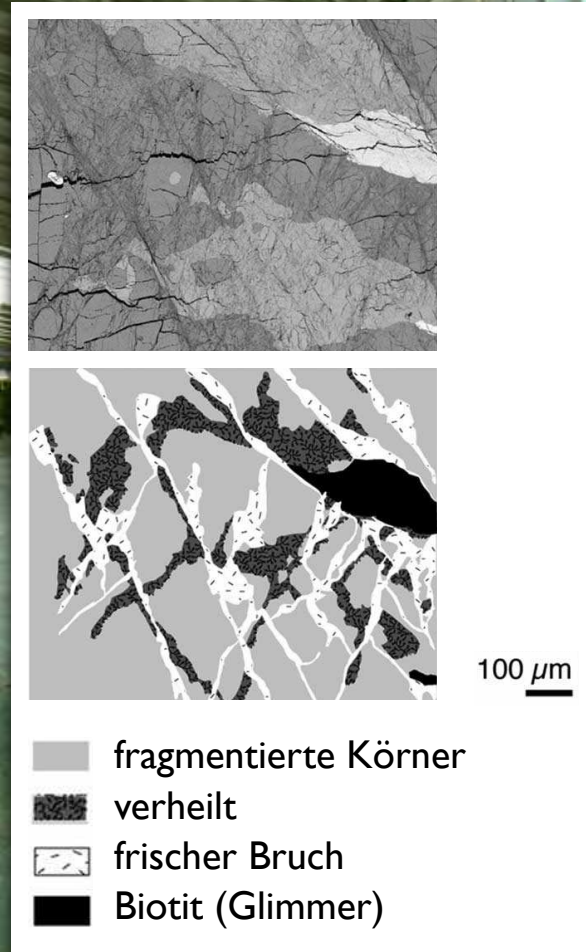
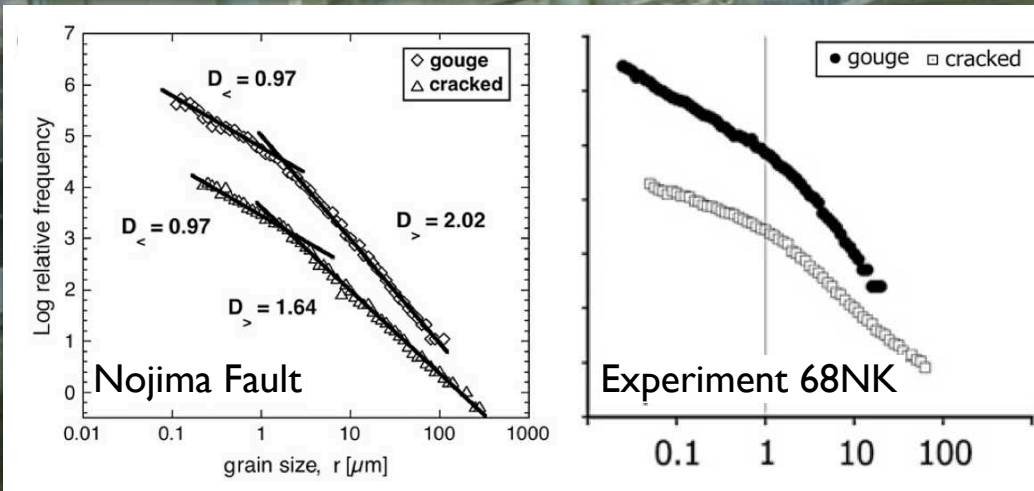
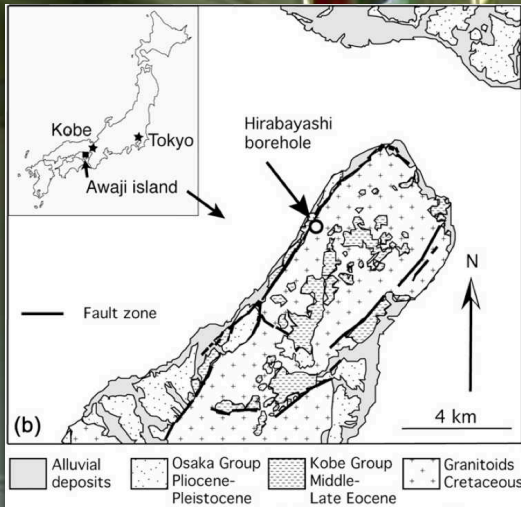
⇒

Zusammenhang  
 $D$  - Versatz ??

# D-maps



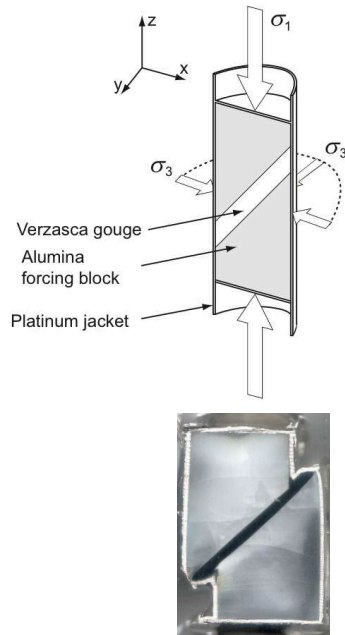
# fault healing



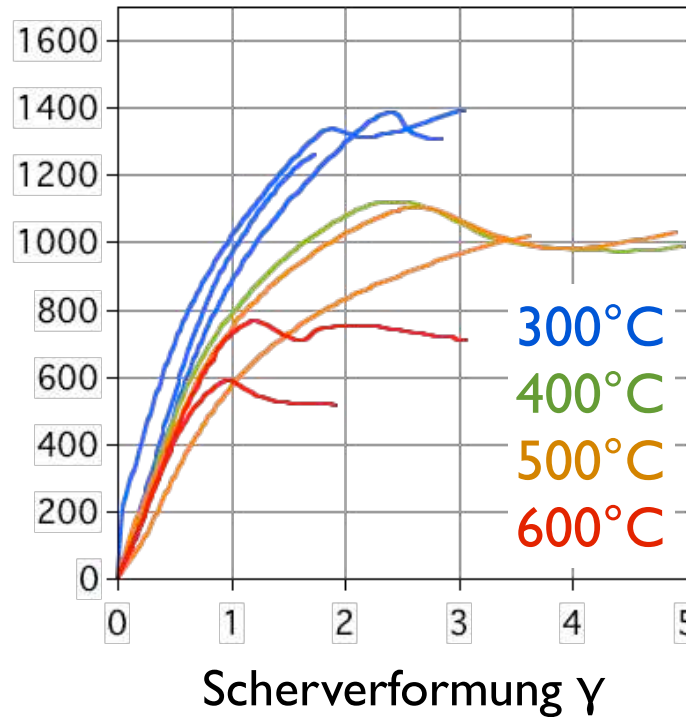
Nojima Verwerfung

im Labor und in der Natur  
verheilen aktive Verwerfungen im  
Zeitraum von Tagen bis Jahren

# Deformationsexperimente: creep



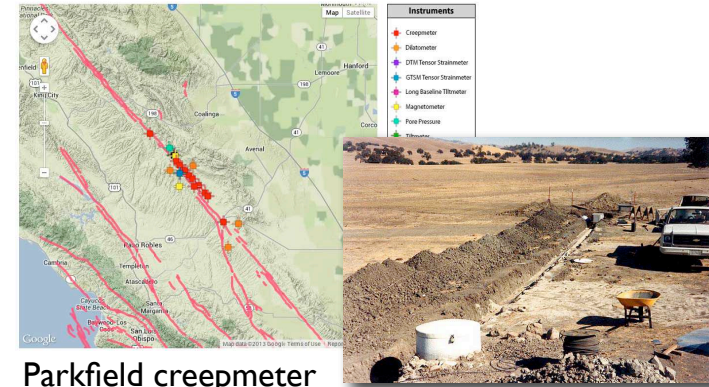
FlieBspannung (MPa)



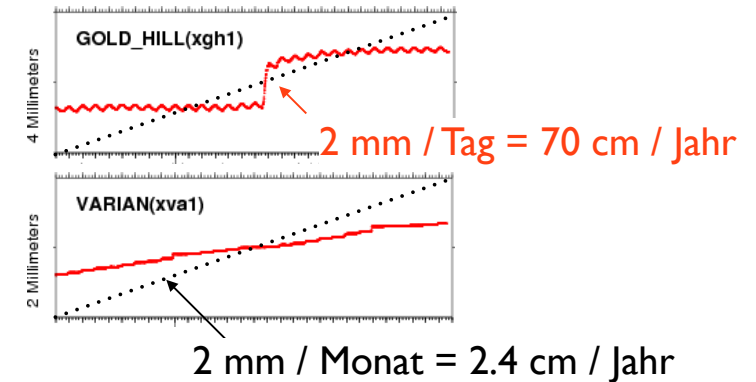
= 'live' Experimente

**Experiment**

Umschliessungsdruck = 500 MPa  
 Verschiebungsrates =  $10^{-8} \text{ ms}^{-1}$



Parkfield creepmeter

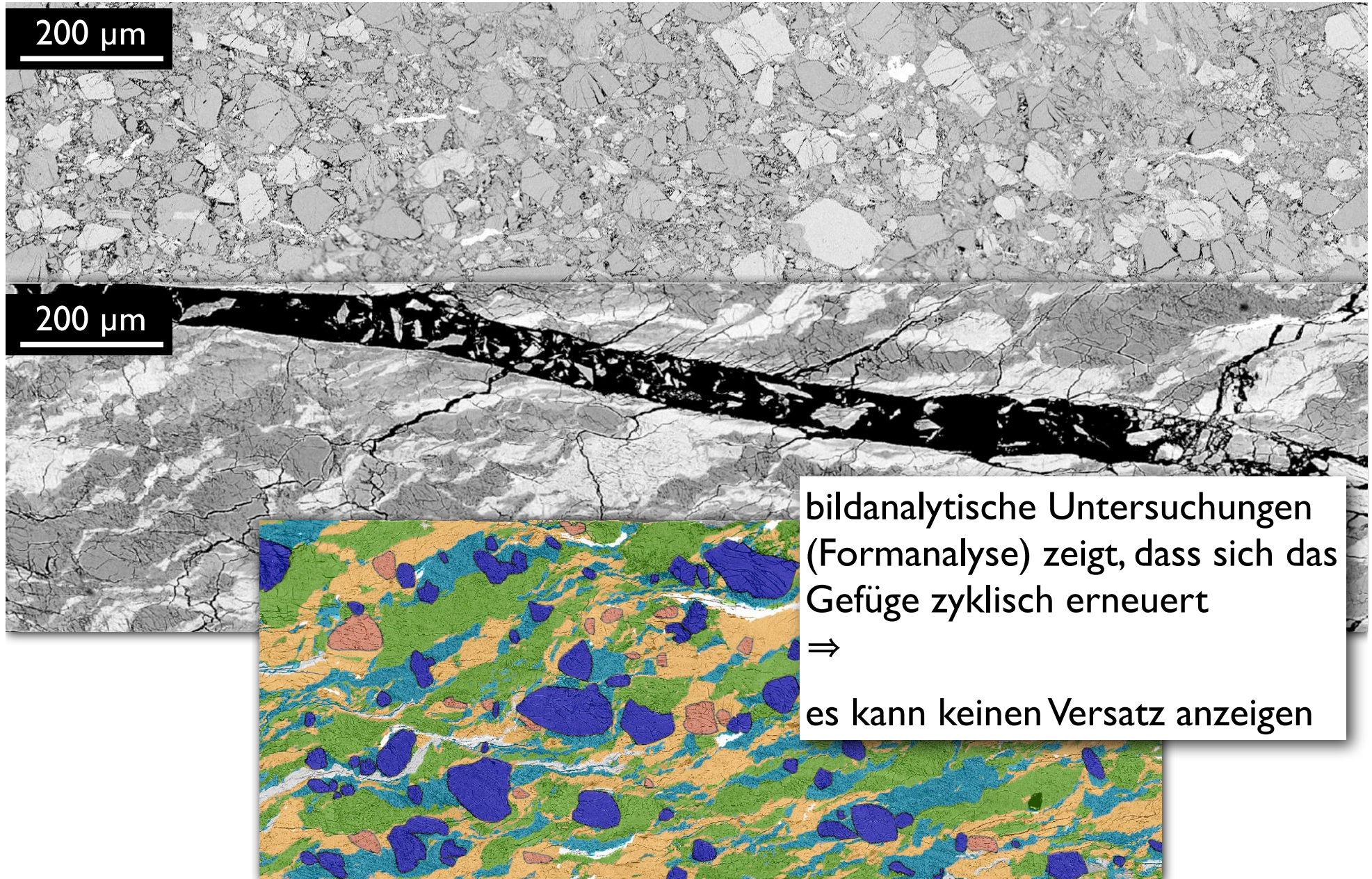


**Natur**

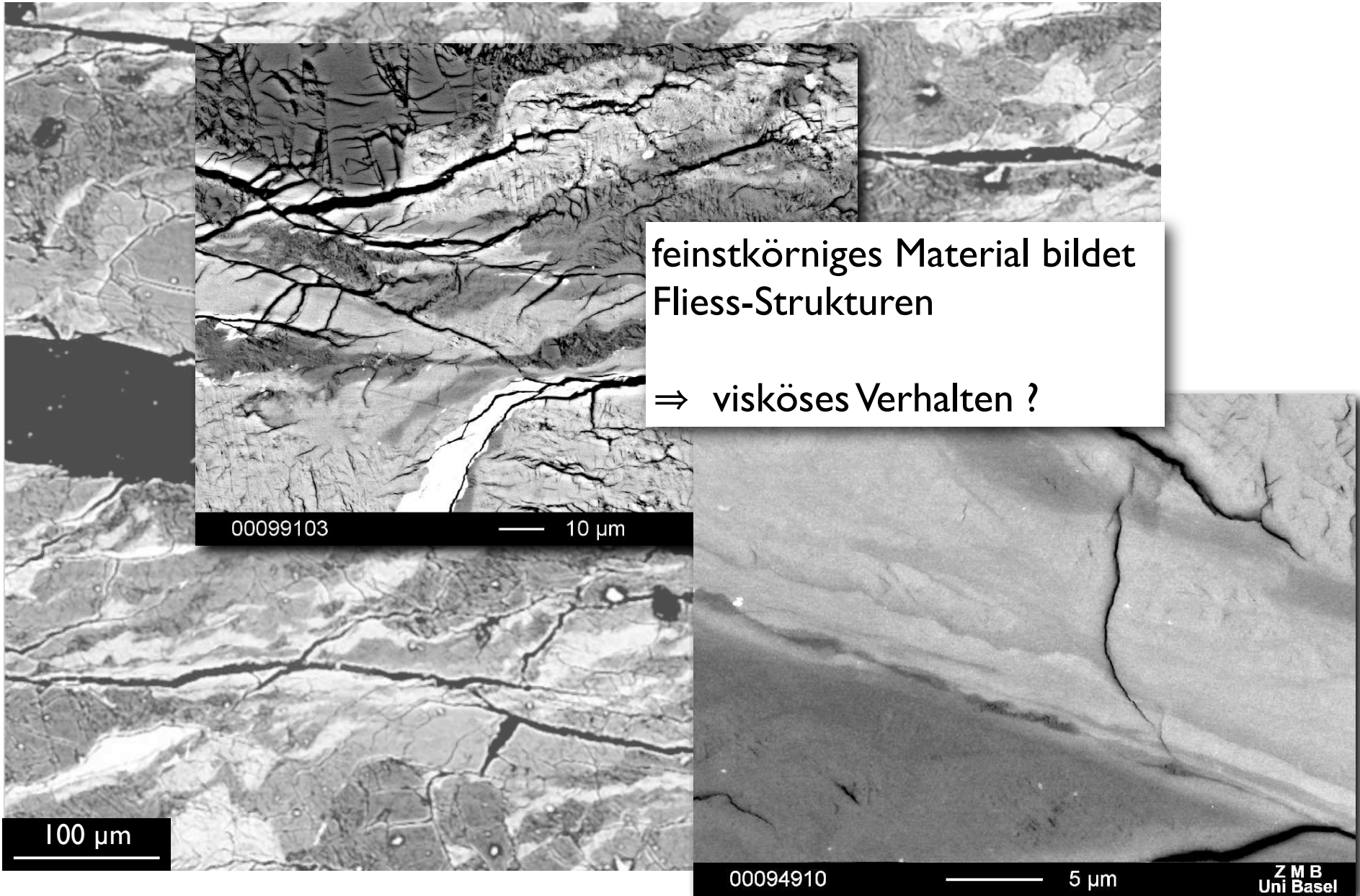
=

15-20 km Tiefe  
 30 cm / Jahr

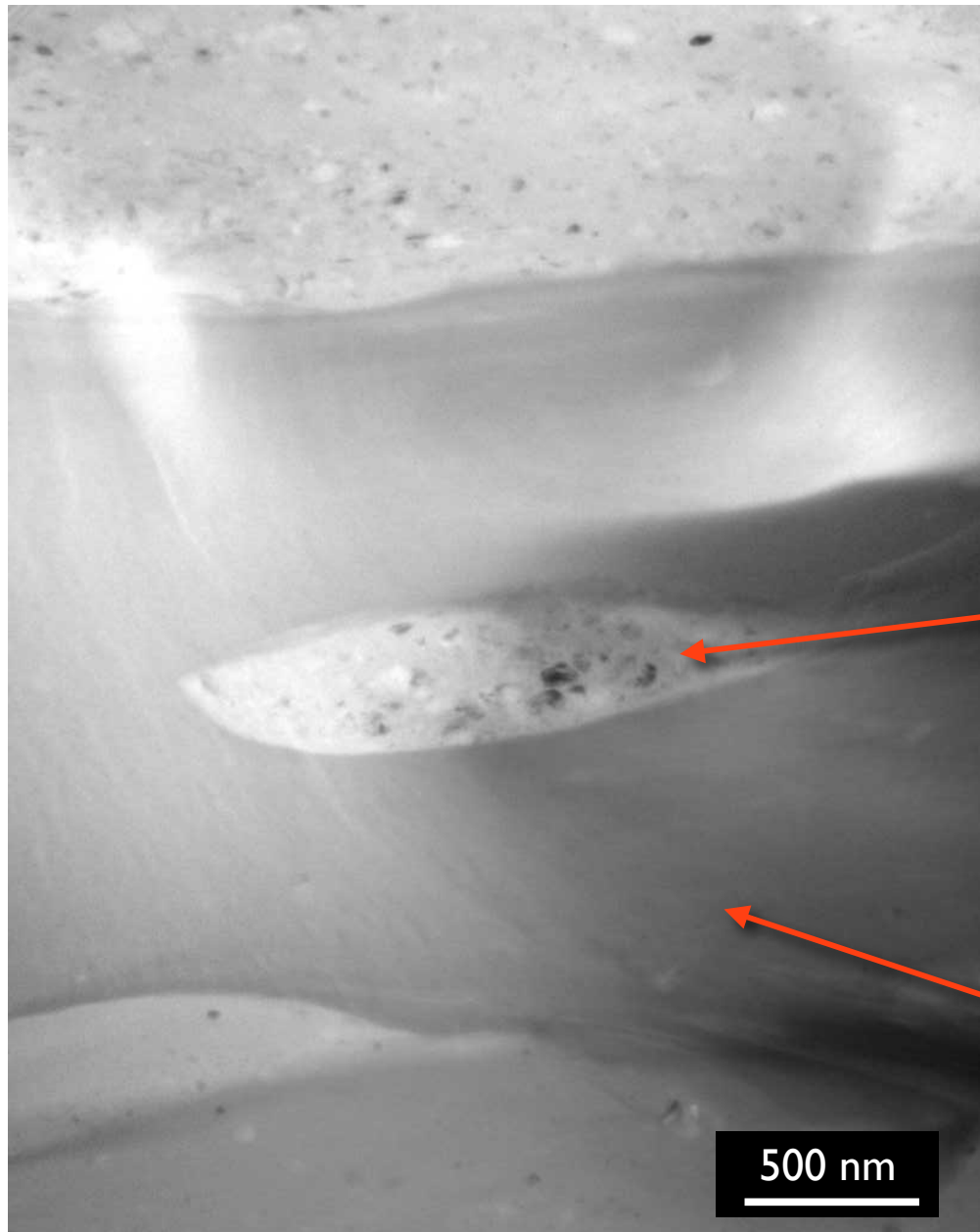
# lokalisiert - stationär



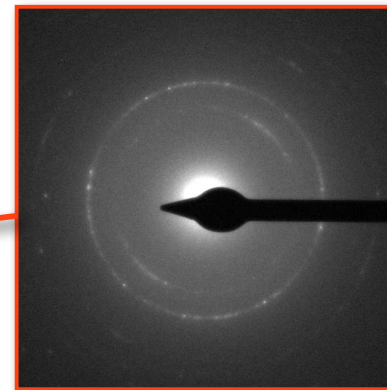
# lokalisierte Verformung



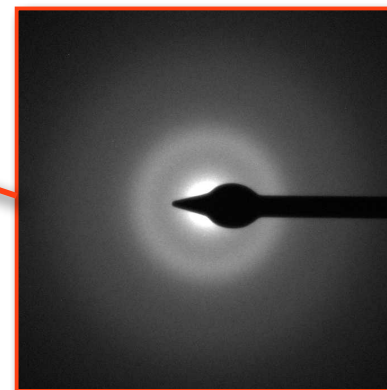
# Amorphisierung



auf kleinstem Raum wird die Spannung so hoch, dass sich amorphes Material bilden kann - ohne dass die Schmelztemperatur erreicht wird

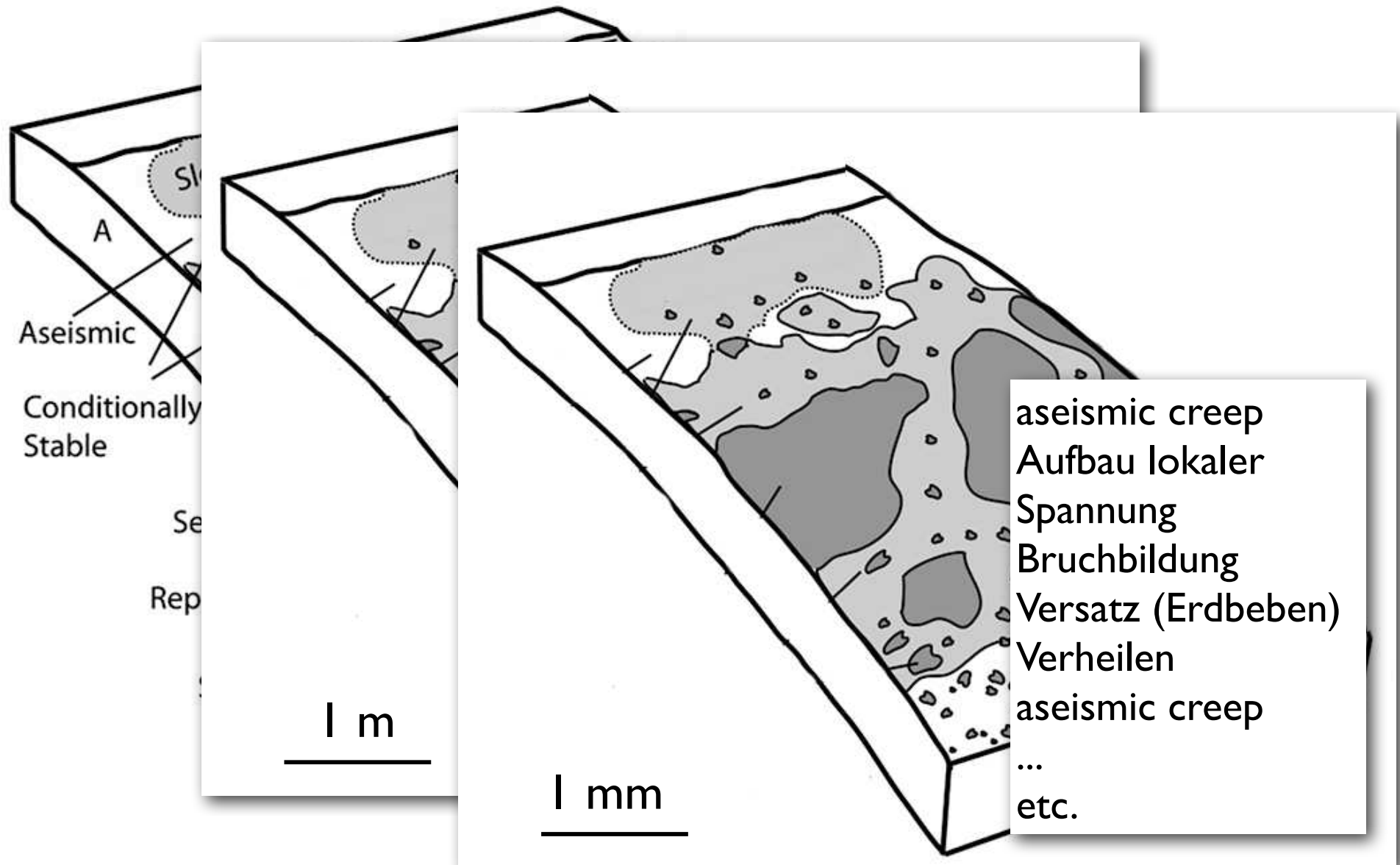


nano-  
kristallin



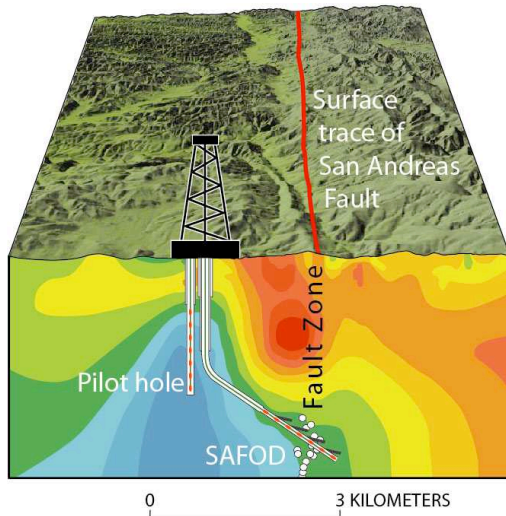
amorph

# wie es funtionieren könnte





# 'seismic slip' in der Natur



aktiv

SAFOD

San Andreas Fault Observatory at Depth



lokale Bruchbildung  
lokaler Versatz

Grøt fjord, Nordnorge

fossil



# Fragen, die uns weiter beschäftigen

sind Verwerfungen stark oder schwach ?

ist die Reibung an der Verwerfung hoch oder tief ?

warum hält eine Verwerfung manchmal und manchmal nicht ?

unter welchen Bedingungen bricht sie (versagt sie) ?

welche Bedingung stabilisieren eine Verwerfung ?

kann eine Verwerfung verheilen ?

bis in welche Tiefe verhalten sich Verwerfungen bruchhaft ?

gehen alle Erdbeben von Verwerfungen aus ?

sind alle Erdbeben auf bruchhaftes Versagen zurückzuführen ?

....

kann man das Versagen (und damit Erdbeben) vorhersagen ?

....

kann man ein Versagen (und damit Erdbeben) verhindern ?



the end

# Links

SED Schweizerischer Erdbebendienst

- [www.seismo.ethz.ch](http://www.seismo.ethz.ch)

USGS - United States Geological Survey - Earthquake Hazard Program

- [earthquake.usgs.gov/earthquakes/map/](http://earthquake.usgs.gov/earthquakes/map/)

IRIS - Incorporated Research Institutions for Seismology - Teachable Moments

- [www.iris.edu/hq/retm](http://www.iris.edu/hq/retm)

European-Mediterranean Seismological Centre

- [www.emsc-csem.org/](http://www.emsc-csem.org/)

Tohoku Erdbeben

- [de.wikipedia.org/wiki/Tohoku-Erdbeben\\_2011](http://de.wikipedia.org/wiki/Tohoku-Erdbeben_2011)
- [www.iris.edu/hq/retm/event/1328](http://www.iris.edu/hq/retm/event/1328)
- [www.iris.edu/hq/files/programs/education\\_and\\_outreach/retm/tm\\_110311\\_japan/Subduction\\_ElasticRebound\\_Tsunami\\_480.mov](http://www.iris.edu/hq/files/programs/education_and_outreach/retm/tm_110311_japan/Subduction_ElasticRebound_Tsunami_480.mov)

Tsunami wave animation

- [en.wikipedia.org/wiki/File:20110311Houshu.ogg](http://en.wikipedia.org/wiki/File:20110311Houshu.ogg)

Earthquake Facts & Earthquake Fantasy

- [earthquake.usgs.gov/learn/topics/megaqk\\_facts\\_fantasy.php](http://earthquake.usgs.gov/learn/topics/megaqk_facts_fantasy.php)